

Non-Research Tips for Information Science Researchers (Summer 2024)

Apr 17, 2024

Week 2: Equations and pseudo-codes

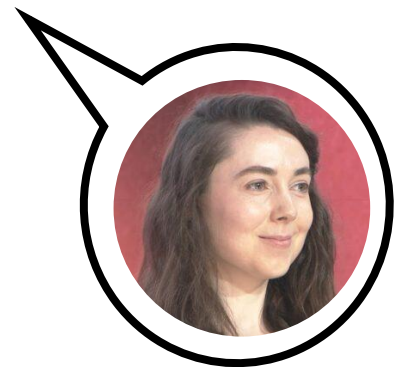
<https://non-research-tips.github.io/2024>



Yusuke Matsui
(UTokyo)

Schedule

Date (2024)	Contents	Presented by
Week 1, Apr 10	Introduction. Review of fundamental concepts	Yusuke, Koya, Yuki, Jun
Week 2, Apr 17	Equations and pseudo-codes	Yusuke Matsui
Week 3, Apr 24	Presentation	Koya Narumi
Week 4, May 1	Tables and plots	Yusuke Matsui
Week 5, May 8	Figures	Koya Narumi
Week 6, May 22	Videos	Koya Narumi
Week 7, May 29	Invited Talk 1	Dr. Yoshiaki Bando (AIST)
Week 8, June 5	Invited Talk 2	Prof. Katie Seaborn (Tokyo Tech)
Week 9, June 12	GitHub in depth	Yusuke Matsui
Week 10, June 19	Automation of research and research dissemination (Web, Cloud, CI/CD)	Jun Kato
Week 11, June 26	Research community	Jun Kato
Week 12, July 3	3DCG illustrations	Yuki Koyama
Week 13, July 10	Final presentations	-



Author



The data x is...

It's obvious from the context what x is.

Author



The data x is...

Reviewer 2



It's obvious from the context what x is.

What is x ? A scalar? A vector?
Hard to read... Reject!

Author



The data x is...

Reviewer 2



It's obvious from the context what x is.

What is x ? A scalar? A vector?
Hard to read... Reject!



The data $x \in \mathbb{R}^D$ is...



Let me explicitly define x as a D -dim vector.

Ok, x is a D -dim real-valued vector. This author uses a bold alphabet as a vector. Got it. Keep reading...

Author



The data x is...

Reviewer 2



Writing a clear, simple, and precise mathematical description is extremely important!



The data $x \in \mathbb{R}^D$ is...



Let me explicitly define x as a D -dim vector.

Ok, x is a D -dim real-valued vector. This author uses a bold alphabet as a vector. Got it. Keep reading...

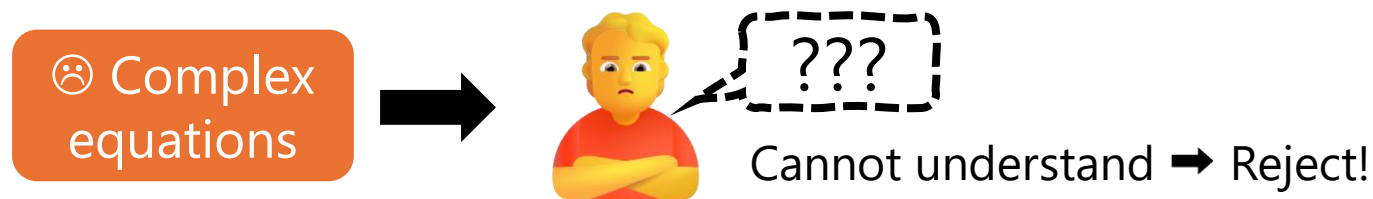
Equations and pseudo-codes

Introduce the guideline of writing equations. Three important things:

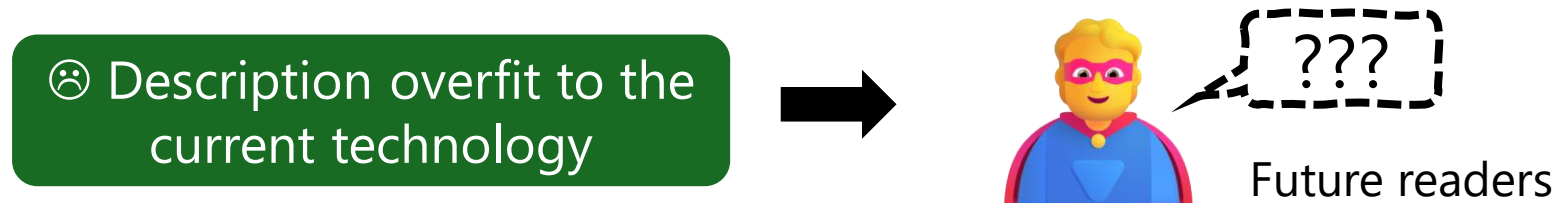
1. Describe **precisely what you want to say** without making mistakes.



2. Be **sufficient**, not overly complex or ambiguous.



3. Be understandable even if it is read **10 years later**.



Equations and pseudo-codes

- Main target audience:
 - ✓ Researchers in CV, NLP, or related fields.
- Different fields have very different conventions.
 - ✓ If the content in this lecture differs from your field's convention, **please always follow your field's convention.**
 - ✓ e.g., I suggest using a bold font for vectors, but your field might never use bold.



"OK" in US

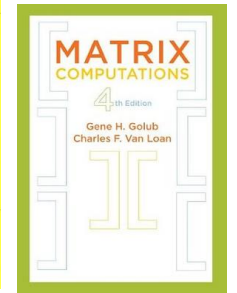
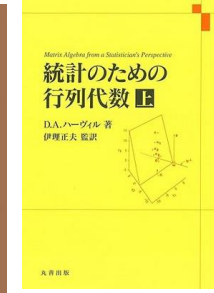
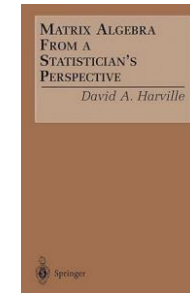


"OK" in Japan

Reference

Dictionary for notation

- D. A. Harville, "Matrix Algebra From a Statistician's Perspective", Springer, 2000.
- D. A. ハーヴィル, "統計のための行列代数上・下", 丸善出版, 2012.
- G. H. Golub and C. F. Van Loan, "Matrix Computations, 4th edition", Johns Hopkins University Press, 2012.



Very precise description for Transformer

- M. Phuong and M. Hutter, "Formal Algorithms for Transformers", arXiv 2022. <https://arxiv.org/abs/2207.09238>

Higher-order tensor

- T. G. Kolda and B. W. Bader, "Tensor Decompositions and Applications", SIAM Review, 2009.
- 横田達也, "テンソル分解の基礎と応用", MIRU チュートリアル, 2022.

<https://speakerdeck.com/yokotatsuya/tensorufen-jie-falseji-chu-toying-yong-miru2022tiyutoriuru>

The original document for this lecture

- 松井勇佑, "工学系の卒論生のための数式記述入門", GitHub, 2021. https://github.com/mti-lab/math_writing

工学系の卒論生のための数式記述入門

東京大学 情報理工学系研究科 講師 松井勇佑
初版 2021年1月7日 / 最終更新 2023年4月26日

- 本資料の GitHub リポジトリ: https://github.com/mti-lab/math_writing
- 著者のウェブページ: <http://yusukematsui.me>

1 はじめに

本資料は、初めて技術文章を書く学生向けの、数式記述の入門書です。自分のアイデアを式として記述する作業は難しく、最初のうちはうまく書けません。本資料は、そんな学生向けに、数式をどう記述すればいいかのガイドラインを示します。特に、よくありがちな、変数がゴチャゴチャしてわかりにくくなったり、アルファベットを使いすぎて足りなくなったりする状況の改善を目指します。重要なことは次の三点です。

- 伝えたいことを間違えることなく正確に記述すること

しょう。

- 東京大学松尾先生による記事: 読み物としても面白い、松尾先生による論文執筆指南です。短い文章で重要な事項が凝縮されており、必読です。
 - 松尾くみの論文の書き方
 - 松尾くみの論文の書き方: 英語論文

次に、数式の表記 (notation) に関して参考になる資料を紹介します。本記事では、これらを参考に、松井の考える notation のベストプラクティスを紹介します。

- D. A. ハーヴィル, 統計のための行列代数 上下: これは線形代数の辞書です。表記はもとより、導出がわからない式に出会った場合に参考になります。
- Golub ら, Matrix Computations: これは実際に計算することを中心とした、線形代数の教科書です。こちらへ、後書と

I'd like to translate it into English, but I have no time... PR welcome!

Equations

- Basic notation for variables
- String
- Tips for sets
- Inputs/outputs of functions
- Subscript/superscript
- Don't write numpy
- Misc

Pseudo codes

- Basic
- What do you want to express?
- Misc

Equations

- Basic notation for variables
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Pseudo codes

- Basic
- What do you want to express?
- Misc

Basic notation for variables

- Having a good notation for variables is extremely important.
- The most important rule: **to be consistent**
 - ✓ Bold for vectors (recommended):
 - This is $\mathbf{x} \in \mathbb{R}^3 \dots$ Here, $\mathbf{y} \in \mathbb{R}^3 \dots$
 - ✓ Non-bold for vectors (ok):
 - This is $x \in \mathbb{R}^3 \dots$ Here, $y \in \mathbb{R}^3 \dots$
 - ✓ Mixed (**REALLY BAD!** 🤪 🤪 🤪 🤪):
 - This is $\mathbf{x} \in \mathbb{R}^3 \dots$ Here, $y \in \mathbb{R}^3 \dots$
- If you decide your way, keep following the way **throughout the paper.**

Basic notation for variables

- For all variables, explicitly write a **domain**:

∈: "an element of"

domain: a set, e.g., \mathbb{R}

$$x \in \mathbb{R}$$

x is an element of real numbers
→ x is a real number

- Including a domain is crucial; much easier to understand.
- If you think you can skip a domain, you're likely incorrect by 90%.
- Writing a domain only requires a bit more space. **No side effects.**

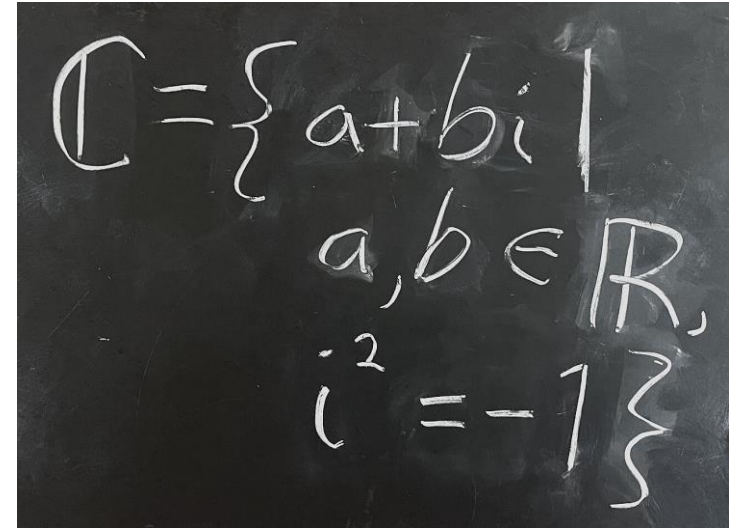
- An exceptional case is when you hide a domain **intentionally**.

- ✓ Avoid unnecessarily complex descriptions. (I'll explain later)

- ✓ e.g., a feature volume for time series $V \in \mathbb{R}^{H \times W \times T}$ 🤔 T depends on $V...$

First things first: real numbers, natural numbers, etc

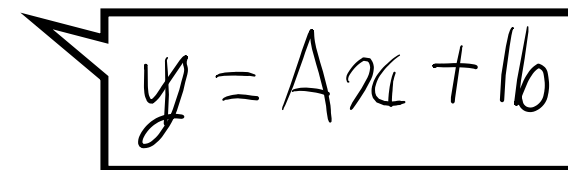
- **Blackboard bold** is used for special symbols such as real numbers.
 - ✓ TeX: \mathbb{R}
 - ✓ Powerpoint: e.g., \mathbb{R}
- Examples:
 - ✓ \mathbb{R} : Real numbers
 - ✓ \mathbb{N} : Natural numbers
 - ✓ \mathbb{Z} : Integers
- Blackboard bold is typically used only in traditional contexts.
 - ✓ 🚫 Don't recommend using it for a vector: $\mathbf{x} \in \mathbb{R}^3$ (although we do so when writing a vector by hand)



A photograph of a chalkboard with the following handwritten text in white chalk:

$$\mathbb{C} = \left\{ a+bi \mid \begin{array}{l} a, b \in \mathbb{R}, \\ i^2 = -1 \end{array} \right\}$$

https://en.wikipedia.org/wiki/Blackboard_bold



A speech bubble containing the handwritten equation:

$$y = Ax + b$$

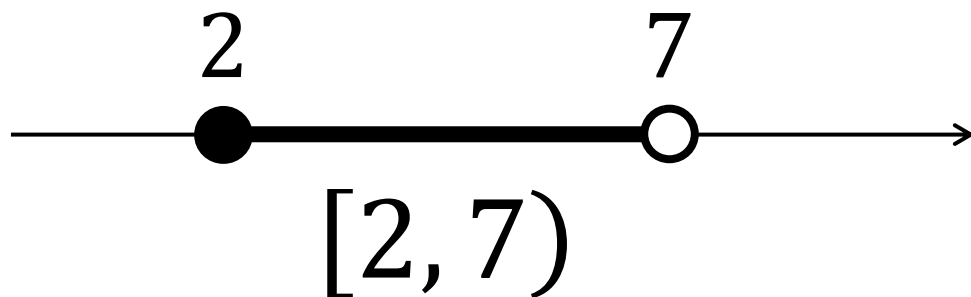
Summary

Type	How to write	Example of domain	Example of values
Scalar	Lowercase or uppercase	$a \in \mathbb{R}. b \in \mathbb{N}. K \in \{10, 20, 30\}.$	$a = 3.2. b = 13. K = 20.$
Vector	Lowercase and bold ➤ TeX: $\mathbf{\$}$ or $\mathbf{\$}$ ➤ Powerpoint: bold	$\mathbf{x} \in \mathbb{R}^3. \mathbf{b} \in \{0, 1\}^B.$	$\mathbf{x} = [0.1, 0.2, 0.3]^\top. \mathbf{b} = [0, 1, 1, 0]^\top.$
Matrix and Tensor	Uppercase and bold ➤ TeX: $\mathbf{\$}$ or $\mathbf{\$}$ ➤ Powerpoint: bold	$\mathbf{A} \in \mathbb{R}^{2 \times 3}. \mathbf{I} \in [0, 1]^{H \times W \times 3}.$	$\mathbf{A} = \begin{bmatrix} 1 & 2 & 3 \\ 4 & 5 & 4 \end{bmatrix}.$
Set	Calligraphy font in uppercase ➤ TeX: $\mathcal{\$}$ ➤ Powerpoint: e.g., $\mathcal{\$}$	$\mathcal{S} \subset \mathbb{N}.$	$\mathcal{S} = \{2, 4, 8, 16\}.$

Scalar

- Recommend: Lowercase or uppercase (e.g., $a \in \mathbb{R}$, $K \in \mathbb{N}$, $\mu \in \mathbb{C}$)
- If you can specify the range tightly, it's more informative.

Example	Meaning
$a \in [2, 7]$	$2 \leq a \leq 7$ More informative than $a \in \mathbb{R}$
$a \in (2, 7)$	$2 < a < 7$
$a \in [2, 7)$	$2 \leq a < 7$
$a \in \{2, 7\}$	$a = 2$ or $a = 7$
$a \in \{2, \dots, 7\}$	If naturally interpreted, $a = 2$ or $a = 3$ or ... or $a = 7$.



Range (remember your high-school math!)

All brackets are different and have various meanings

$()$: Parentheses

$[\]$: Square brackets

$\{ \}$: Curly brackets

Vector

- Recommend: Lowercase and bold, e.g.,
 - ✓ $\mathbf{x} \in \mathbb{R}^3$ 3-dimensional real-valued vector
 - ✓ $\mathbf{b} \in \{0, 1\}^B$ B -dimensional binary vector
- How to write
 - ✓ TeX: `\mathbf{x}` or `\bm{x}`
 - ✓ Powerpoint: set bold
- `\mathbf{x}` or `\bm{x}`?
 - ✓ Depends on the TeX style.
 - ✓ Render both, compare them, and select the best one.

Default	$x, a, 1, \mu$
<code>\mathbf{x}</code>	$\mathbf{x}, \mathbf{a}, \mathbf{1}, \mu$
<code>\bm{x}</code>	$\mathbf{x}, \mathbf{a}, \mathbf{1}, \mu$

Vector

- Recommend: Lowercase and bold, e.g.,
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Default

$x, a, 1, \mu$

Non-italic. Very different from the default.

`\mathbf{x}`

$\mathbf{x}, \mathbf{a}, \mathbf{1}, \mu$

Special characters may not work

`\bm{x}`

$\mathbf{x}, \mathbf{a}, \mathbf{1}, \mu$

Italic. Natural but hard to distinguish from the default

Vector

➤ Row-vector or column-vector?

- ✓ Recommend: If you write a vector (e.g., $\mathbf{x} \in \mathbb{R}^3$), assume that all vectors are column-vectors.

$$\mathbf{x} = [1, 2, 3]^T = \begin{bmatrix} 1 \\ 2 \\ 3 \end{bmatrix} \quad \text{Column-vector. OK.}$$

$$\mathbf{y} = [1, 2, 3] \quad \text{Row-vector. Only write this if you explicitly need it.}$$

➤ Why should we be careful?

- ✓ Need to be careful when performing matrix operations:

$$\text{Given } \mathbf{A} \in \mathbb{R}^{3 \times 3}, \begin{cases} \mathbf{Ax} \text{ can be computed} \\ \mathbf{Ay} \text{ cannot be computed } (\mathbf{Ay}^T \text{ can be computed}) \end{cases}$$

Vector

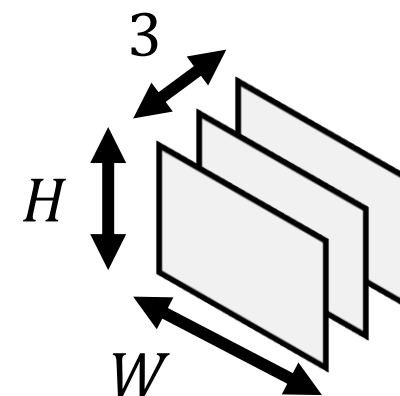
- More strictly, just writing " \mathbb{R}^D " cannot decide it's column or row.
 - ✓ Explicitly saying D -dim column-vectors: $\mathbf{a} \in \mathbb{R}^{D \times 1}$
 - ✓ Explicitly saying D -dim row-vectors: $\mathbf{b} \in \mathbb{R}^{1 \times D}$
- Recommend: Decide in your mind that $\mathbf{c} \in \mathbb{R}^D$ is an abbreviated notation of $\mathbf{c} \in \mathbb{R}^{D \times 1}$
- Important rule: Again, make it to be **consistent** throughout the paper.
 - ✓ Recommend: "column-vector $\mathbf{x} \in \mathbb{R}^3$ and column-vector $\mathbf{y} \in \mathbb{R}^3$ "
 - ✓ Not recommend but OK: "row-vector $\mathbf{x} \in \mathbb{R}^3$ and row-vector $\mathbf{y} \in \mathbb{R}^3$ "
 - ✓ 🙄 NO!!!: "column-vector $\mathbf{x} \in \mathbb{R}^3$ and row-vector $\mathbf{y} \in \mathbb{R}^3$ "

Recommend this way if you need a row vector

Same description but sometimes column, sometimes row??? Confusing. Reject!



Matrix and tensor



- Recommend: Uppercase and bold, e.g.,
 - ✓ $A \in \mathbb{R}^{2 \times 3}$ 2x3 real-valued matrix
 - ✓ $I \in [0, 1]^{H \times W \times 3}$ HxWx3 3rd-order tensor. Each element is in $[0, 1]$
- Bold or not?
 - ✓ Non-bold may be more usual.
 - ✓ But a non-bold uppercase alphabet can be misinterpreted as a scalar (e.g., K is a matrix? Scalar?). Thus, I prefer bold for a matrix.
- Higher-order tensor is often used in CV as an image is 3rd-order tensor.
 - ✓ For higher-order tensors, one may use $\mathbb{R}^{m \times n \times p}$ or bold $\mathbb{R}^{m \times n \times p}$

$$A \in \mathbb{R}^{2 \times 3 \times 4}$$

$$\mathbf{A} \in \mathbb{R}^{2 \times 3 \times 4}$$

Matrix and tensor

Example	Meaning
$a \in \mathbb{R}$	Real-valued scalar
$\mathbf{a} \in \mathbb{R}^2$	Two-dimensional real-valued vector
$\mathbf{A} \in \mathbb{R}^{2 \times 3}$	2x3 real-valued matrix
$\mathbf{B} \in [0, 1]^{2 \times 3}$	2x3 matrix, where each element is in $[0, 1]$
$\mathbf{C} \in [0, 1]^{2 \times 3 \times 4}$	2x3x4 tensor, where each element is in $[0, 1]$
$\mathbf{D} \in \{-1, 0, 1\}^{2 \times 3}$	2x3 matrix, where each element is either -1 or 0 or 1
$\mathbf{E} \in \{0, \dots, 100\}^{2 \times 3}$	2x3 matrix, where each element is either 0 or ... or 100
$\mathbf{f} \in \mathbb{N}^{1 \times ab}$	(ab) -dimensional row vector of natural numbers
$\mathbf{F} \in \mathbb{N}^{a \times b}$	$a \times b$ matrix of natural numbers



Reshape

Matrix and tensor

- Accessing an element of a vector: Given $\mathbf{a} \in \mathbb{R}^3$,
 - ✓ i -th element: $a_i \in \mathbb{R}$, $a[i] \in \mathbb{R}$, $a(i) \in \mathbb{R}$ Several styles
 - ✓ Natural choice: a_i
 - ✓ Don't write: \mathbf{a}_i (this implies an i -th vector from $\{\mathbf{a}_n\}_{n=1}^{10}$)

- Accessing an element of a matrix: Given $\mathbf{A} \in \mathbb{R}^{3 \times 2}$,
 - ✓ (i, j) -th element: $A_{ij} \in \mathbb{R}$, $a_{ij} \in \mathbb{R}$, $A[i, j] \in \mathbb{R}$, $A(i, j) \in \mathbb{R}$ Several styles
 - ✓ i -th row: $\mathbf{A}_{i:} \in \mathbb{R}^{1 \times 2}$, $\mathbf{A}[i, :] \in \mathbb{R}^{1 \times 2}$, $\mathbf{A}(i, :) \in \mathbb{R}^{1 \times 2}$
 - ✓ j -th column: $\mathbf{A}_{:j} \in \mathbb{R}^3$, $\mathbf{A}[:, j] \in \mathbb{R}^3$, $\mathbf{A}(:, j) \in \mathbb{R}^3$ One may not use bold

Matrix and tensor

- Parenthesis or square brackets?

- ✓ Both are fine.

$$A = \begin{pmatrix} 1 & 2 \\ 3 & 4 \end{pmatrix} \quad B = \begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix}$$

- Personally, I prefer square brackets as parenthesis have more meanings.

- i.e., parenthesis for vector/matrix may conflict with other usages, e.g.,

- ✓ Usual sentence: "We think XXX (Note that $\mathbf{x} = (1, 2)$)"

- ✓ Binomial coefficient: $\binom{2}{3}$ Cannot distinguish with $\binom{2}{3} \in \mathbb{R}^2$

- ✓ Element-based representation of a matrix: $\mathbf{A} = (a_{ij})$

- ✓ Function: $f((1, 2))$

- ✓ Tuple: $(1, 2, 3)$ Parenthesis is used for a tuple.

- ✓ etc...

Set

- Recommend: Calligraphy font in uppercase, e.g.,
 - ✓ $\mathcal{S} = \{2, 13, 5, 7\} \subset \mathbb{N}$ A set of natural numbers.
 - ✓ $\mathcal{X} = \{\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_N\} \subset \mathbb{R}^D$ A set of N D -dimensional vectors.
 - Can be written as $\mathcal{X} = \{\mathbf{x}_n\}_{n=1}^N$. Here, $\mathbf{x}_n \in \mathbb{R}^D$.
- How to write
 - ✓ TeX: `\mathcal{S}`
 - ✓ Powerpoint: e.g., `\scriptS`
- Cardinality (the number of elements): $|\mathcal{S}| = 4$
- A set doesn't contain duplicate elements.
 - ✓ If does, it's called multi-set (or bag): $\mathcal{A} = \{a, a, b\}$.

Set

➤ Domain??

- ✓ 😞 It's a bit tough to show a domain of a set.

Powerset: Given a set \mathcal{A} , a powerset of \mathcal{A} is defined as all subsets of \mathcal{A} . E.g.,

➤ $\mathcal{A} = \{1, 3, 5\}$, then

➤ $2^{\mathcal{A}} = \{\phi, \{1\}, \{3\}, \{5\}, \{1, 3\}, \{3, 5\}, \{5, 1\}, \{1, 3, 5\}\}$

- ✓ Consider a set $\mathcal{S} = \{5, 3\} \subset \mathcal{A}$, the domain of a set \mathcal{S} is:

□ $\mathcal{S} \in 2^{\mathcal{A}}$

- ✓ In the same way, if a set \mathcal{B} is a subset of \mathcal{X} , \mathcal{B} 's domain is $2^{\mathcal{X}}$

□ $\mathcal{B} \subset \mathbb{R}$, then $\mathcal{B} \in 2^{\mathbb{R}}$

Usually, " $\mathcal{B} \subset \mathbb{R}$ " style is easier to read.

➤ The powerset may helps when defining a function with a set-input $f(\mathcal{B})$:

✓ $f: 2^{\mathbb{R}} \rightarrow \mathbb{R}$

Summary (again)

Type	How to write	Example of domain	Example of values
Scalar	Lowercase or uppercase	$a \in \mathbb{R}. b \in \mathbb{N}. K \in \{10, 20, 30\}.$	$a = 3.2. b = 13. K = 20.$
Vector	Lowercase and bold ➤ TeX: $\text{\texttt{\textbackslash mathbf}}$ or $\text{\texttt{\textbackslash bm}}$ ➤ Powerpoint: bold	$\mathbf{x} \in \mathbb{R}^3. \mathbf{b} \in \{0, 1\}^B.$	$\mathbf{x} = [0.1, 0.2, 0.3]^\top. \mathbf{b} = [0, 1, 1, 0]^\top.$
Matrix and Tensor	Uppercase and bold ➤ TeX: $\text{\texttt{\textbackslash mathbf}}$ or $\text{\texttt{\textbackslash bm}}$ ➤ Powerpoint: bold	$\mathbf{A} \in \mathbb{R}^{2 \times 3}. \mathbf{I} \in [0, 1]^{H \times W \times 3}.$	$\mathbf{A} = \begin{bmatrix} 1 & 2 & 3 \\ 4 & 5 & 4 \end{bmatrix}.$
Set	Calligraphy font in uppercase ➤ TeX: $\text{\texttt{\textbackslash mathcal}}$ ➤ Powerpoint: e.g., $\text{\texttt{\textbackslash scriptS}}$	$\mathcal{S} \subset \mathbb{N}.$	$\mathcal{S} = \{2, 4, 8, 16\}.$

Equations

- Basic notation for variables
- **String**
- Tips for sets
- Inputs/outputs of functions
- Subscript/superscript
- Don't write numpy
- Misc

Pseudo codes

- Basic
- What do you want to express?
- Misc

String 🤔 It's not easy to use strings in equations

- If you use strings for labels, the easiest way is to define them directly.
 - ✓ A label set $\mathcal{L} = \{\text{"dog"}, \text{"cat"}, \text{"horse"}\}$
 - ✓ Image classifier $f: \mathbb{R}^{H \times W \times 3} \rightarrow \mathcal{L}$
- Alternatively, you can assign an integer for each label.
 - ✓ A label set $\mathcal{L} = \{1, \dots, N\}$
 - ✓ Image classifier $f: \mathbb{R}^{H \times W \times 3} \rightarrow \mathcal{L}$
- Alternatively, you can use one-hot vectors.
 - ✓ A label set $\mathcal{L} = \{\mathbf{b}_n\}_{n=1}^N, \mathbf{b}_n \in \{0, 1\}^N$
 - ✓ Image classifier $f: \mathbb{R}^{H \times W \times 3} \rightarrow \mathcal{L}$
 - ✓ Easy to integrate in other equations, e.g., $\|f(\mathbf{X}) - \mathbf{y}\|_2^2$ for some \mathbf{y}

If you don't run more complex operations on them, this should suffice.

Label	ID	One-hot
"dog"	1	$[1, 0, 0]^T$
"cat"	2	$[0, 1, 0]^T$
"horse"	3	$[0, 0, 1]^T$

- The description $\mathbf{b}_n \in \{0, 1\}^N$ is not tight; could be any N -dim binary vectors.
- If you want to emphasize \mathbf{b}_n is one-hot, you can write:
 - ✓ $\mathbf{b}_n \in \mathcal{H}_{1/N}$
 - ✓ $\mathcal{H}_{1/N} = \{\mathbf{b} \in \{0, 1\}^N \mid \|\mathbf{b}\| = 1\}$
 - ✓ Here, $\mathcal{H}_{1/N}$ is a set of all 1-of- N binary encoding vectors [1]

[1] M. Norouzi and D. J. Fleet, "Cartesian k-means", CVPR 2013

Label	ID	One-hot
"dog"	1	$[1, 0, 0]^T$
"cat"	2	$[0, 1, 0]^T$
"horse"	3	$[0, 0, 1]^T$

- Alternatively, you can use one-hot vectors
 - ✓ A label set $\mathcal{L} = \{\mathbf{b}_n\}_{n=1}^N, \mathbf{b}_n \in \{0, 1\}^N$
 - ✓ Image classifier $f: \mathbb{R}^{H \times W \times 3} \rightarrow \mathcal{L}$
 - ✓ Easy to integrate in other equations, e.g., $\|f(\mathbf{X}) - \mathbf{y}\|_2^2$ for some \mathbf{y}

String AB A set of character sequences \mathcal{V}^*

- If you need more detailed definition: Kleene closure for characters.
 - ✓ Define a vocabulary $\mathcal{V} = \{\text{“a”}, \text{“b”}, \dots, \text{“z”}\}$.
 - ✓ Here, $\mathcal{V}^2 = \mathcal{V} \times \mathcal{V}$, $\mathcal{V}^3 = \mathcal{V} \times \mathcal{V} \times \mathcal{V}$, ... i.e., “a” $\in \mathcal{V}$ and “dog” $\in \mathcal{V}^3$
 - ✓ Define **a set of character sequences** $\mathcal{V}^* = \{\emptyset\} \cup \mathcal{V} \cup \mathcal{V}^2 \cup \dots$
 - ✓ Any string (character sequence) is in \mathcal{V}^* : “a”, “dog”, “hoge” $\in \mathcal{V}^*$

- A label set $\mathcal{L} = \{\text{“dog”}, \text{“cat”}, \text{“horse”}\} \subset \mathcal{V}^*$

- Straightforward. But not easy to connect with other equations.

String 1 2 3 4 A set of token sequences \mathcal{V}^*

char	token
"a"	1
"b"	2
...	
"z"	N

N : vocabulary size

➤ If you need more math-friendly representations:

Kleene closure for tokens.

✓ Define a vocabulary $\mathcal{V} = \{1, \dots, N\}$.

✓ Here, $\mathcal{V}^2 = \mathcal{V} \times \mathcal{V}$, $\mathcal{V}^3 = \mathcal{V} \times \mathcal{V} \times \mathcal{V}$, ...

✓ i.e., $3 \in \mathcal{V}$ and $[13, 6, 20] \in \mathcal{V}^3$

➤ Now it's just a vector.

➤ Intentionally use "row-vector" style

✓ Define **a set of token sequences** $\mathcal{V}^* = \{\emptyset\} \cup \mathcal{V} \cup \mathcal{V}^2 \cup \dots$

✓ Any token sequence (variable length integer-vectors) is in \mathcal{V}^*

✓ $3, [13, 6, 20], [6, 18, 16, 7] \in \mathcal{V}^*$

➤ This is an ASCII representation for the c-language.

➤ [2] uses this notation.

String 1 2 3 4 A set of token sequences

v	$D(v)$
1	"a"
2	"b"
...	...
N	"z"

- Decoder
 - ✓ Given $v \in \mathcal{V}$, decoder $D(v)$ returns the original character.
 - ✓ e.g., $D(7) = \text{"g"}$.
 - ✓ Extends this to $\mathbf{w} \in \mathcal{V}^*$. e.g., $D([4, 15, 7]) = \text{"dog"}$.
- Vector-style operations
 - ✓ e.g., $\mathbf{w} = [6, 18, 16, 7] \in \mathcal{V}^*$, then $D(\mathbf{w}) = \text{"frog"}$
 - ✓ Specify a character: $w_2 = 18$, and $D(w_2) = \text{"r"}$
 - ✓ Slicing: $\mathbf{w}_{2:4} = [18, 16, 7]$, and $D(\mathbf{w}_{2:4}) = \text{"rog"}$
- Difference to a superset? $2^{\mathcal{V}}$ vs \mathcal{V}^*
 - ✓ An element of a super set is a set (not a vector). No duplicate.
 - ✓ $\{3, 4\} \in 2^{\mathcal{V}}$ Cannot have duplicates $[3, 4, 4] \in \mathcal{V}^*$ Can have duplicates

String 12 34 A set of token sequences

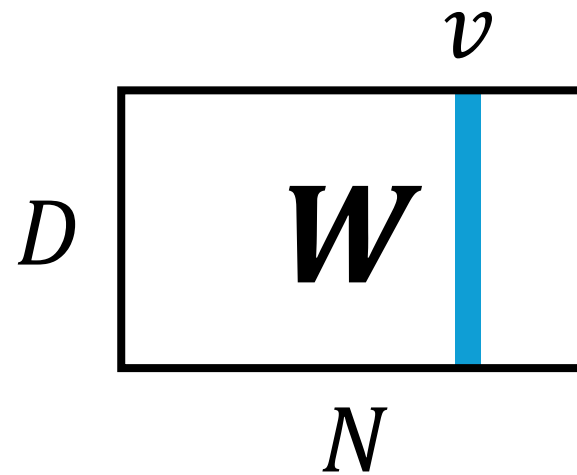
➤ Token embedding

✓ Embedding matrix $\mathbf{W} \in \mathbb{R}^{D \times N}$

D : dim of embedding

✓ The vector representation (embedding) of $v \in \mathcal{V}$ is $\mathbf{W}[:, v] \in \mathbb{R}^D$

v	$D(v)$
1	"a"
2	"b"
...	...
N	"z"



➤ Can be a tuple?

✓ Yes. You can define so (I'll discuss later)

✓ $(1, 3, 5) \in \mathcal{V}^*$

Equations

- Basic notation for variables
- String
- **Tips for sets**
- Inputs/outputs of functions
- Subscript/superscript
- Don't write numpy
- Misc

Pseudo codes

- Basic
- What do you want to express?
- Misc

Tips for sets

➤ How to describe $\{x_1, x_2, \dots, x_N\}$ in short?

✓ 😐 OK, but a bit long? $\{x_i \mid i = 1, 2, \dots, N\}$

✓ 😐 OK, but a bit long? $\{x_i \mid 1 \leq i \leq N\}$

✓ 😐 OK, but a bit long? $\{x_i \mid i \in \{1, 2, \dots, N\}\}$

✓ 😊 Good! Short! $\{x_i\}_{i=1}^N$

This may seem obvious? But many junior students struggle with this.

➤ How to do when I don't want to use an alphabet for #set?

✓ $\mathcal{X} = \{x_1, x_2, \dots\}$ — Tips to hide the number of elements

✓ If you need the number of \mathcal{X} , use $|\mathcal{X}|$

You don't consume any additional alphabets

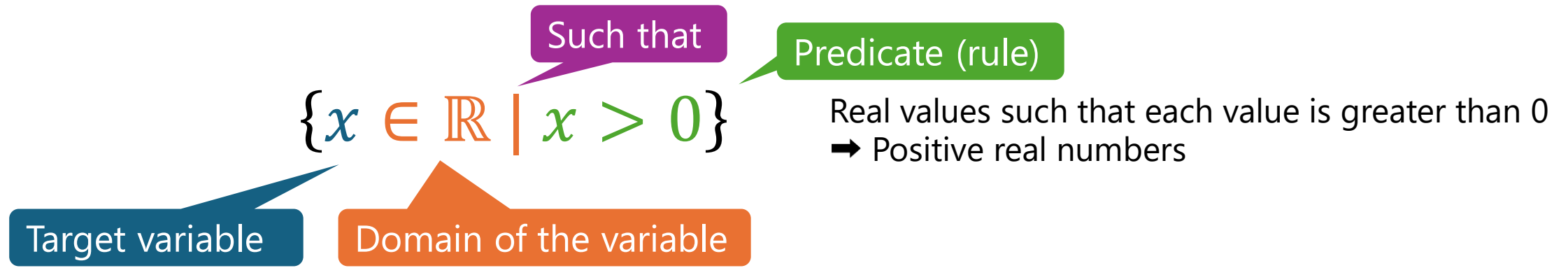
✓ Double brackets to enumerate natural numbers

✓ $\llbracket N \rrbracket = \{1, 2, \dots, N\}$

✓ 🤔 This form is simple, but not so much intuitive. Be careful.

Set-builder notation

- A way to create a set. e.g.,



- The domain can be written on the right:

✓ $\{x \in \mathbb{R} \mid x > 0\} = \{x \mid x \in \mathbb{R}, x > 0\}$

- Any function can be applied to the target variable:

✓ $\{3x + 5 \mid x \in \mathbb{R}^4, \|x\|_2 = 1\}$ For all points in the 4-dimensional unit sphere, affine-transform them by scaling 3 and shift 5

Set-builder notation

➤ Multiple predicates

✓ $\{\mathbf{x} \in \mathbb{R}^3 \mid \mathbf{x}^\top \mathbf{y}_1 = 0, \mathbf{x}^\top \mathbf{y}_2 = 0\}$

All 3-dim vectors that are orthogonal to both \mathbf{y}_1 and \mathbf{y}_2

➤ Multiple variables can be used at the same time (multiple for-loop)

✓ $\{5ij \mid i, j \in \{1, 2, 3\}, i \neq j\}$

➤ Set-builder notation is equivalent to a list comprehension in Python

✓ $\mathcal{A} = \{1, 2, 3, 4, 5\}$, and $\{a^2 \mid a \in \mathcal{A}, a > 3\}$

✓ $A = [1, 2, 3, 4, 5]$ and $\{a*a \text{ for } a \text{ in } A \text{ if } a > 3\}$

Equations

- Basic notation for variables
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Pseudo codes

- Basic
- What do you want to express?
- Misc

Inputs/outputs of functions

- There are two ways to describe the inputs/outputs of a function
 - ✓ e.g., considering $f(x) = x^2$ for $x \in \mathbb{R}$

$f: \mathbb{R} \rightarrow \mathbb{R}$ Set-based description. Use \forall to (\rightarrow)

$f: x \mapsto x^2$ Element-based description. Use \forall mapsto (\mapsto)

- Describing the inputs/outputs makes functions easier to read.
- It's ok to directly write the description in a sentence. **No side effects.**
 - ✓ 😐 "Let us define a function f as follows ..."
 - ✓ 😊 "Let us define a function $f: \mathbb{N} \rightarrow \{1, \dots, 10\}$ as follows ..."

Inputs/outputs of functions

Domain

Codomain

There are two ways to describe the inputs/outputs of a function

✓ e.g. considering $f(x) = x^2$ for $x \in \mathbb{R}$

$$f: \mathbb{R} \rightarrow \mathbb{R}$$
$$f: x \mapsto x^2$$

Set-based description. Use \mapsto to (\rightarrow)

Element-based description. Use \mapsto to (\mapsto)

- Describing the inputs/outputs makes functions easier to read.
- It's ok to directly write the description in a sentence. **No side effects.**
 - ✓ 😐 "Let us define a function f as follows ..."
 - ✓ 😊 "Let us define a function $f: \mathbb{N} \rightarrow \{1, \dots, 10\}$ as follows ..."

Inputs/outputs of functions

- Multiple inputs: $z = f(x, y) = x^2 + y + 1$
 - ✓ $f: \mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$
 - ✓ $f: (x, y) \mapsto z$ or $f: (x, y) \mapsto x^2 + y + 1$
- Vector input: $f(\mathbf{x}) = \mathbf{a}^\top \mathbf{x} + b$, where $\mathbf{a}, \mathbf{x} \in \mathbb{R}^D$ and $b \in \mathbb{R}$
 - ✓ $f: \mathbb{R}^D \rightarrow \mathbb{R}$
 - ✓ $f: \mathbf{x} \mapsto \mathbf{a}^\top \mathbf{x} + b$
- Vector input and vector output: for $\mathbf{x} = [x_1, x_2]^\top \in \mathbb{R}^2$, f is defined as
 - ✓ $f: \mathbf{x} \mapsto \begin{bmatrix} x_1 + x_2 \\ 3x_1 + \log x_2 \\ x_2^3 \end{bmatrix}$ by the element-based description.
 - ✓ Then, the set-based description is $f: \mathbb{R}^2 \rightarrow \mathbb{R}^3$

Inputs/outputs of functions

- When the output is a vector, should the function itself be bold?
 - ✓ Depends.

$$f: \mathbb{R}^2 \rightarrow \mathbb{R}^3$$

$$\mathbf{f}: \mathbb{R}^2 \rightarrow \mathbb{R}^3$$

- 😊 With this, the equation may be beautiful: $\mathbf{z} = \mathbf{f}(\mathbf{x}) + \mathbf{a}$
- 🤔 In modern CV, all functions may have a vector-output, thus all functions may be bold. It may seem "heavy"?
- In this document, I use non-bold for vector-functions.

Set-based? Element-based?

- Usually, the set-based description is more informative.
- Readers have an interest in what are the possible values of the inputs and outputs.

- e.g., for $f(x) = x^2$,

$$f: \mathbb{R} \rightarrow \mathbb{R}$$

$$f: x \mapsto x^2$$

😊 Both an input and an output are real-values.

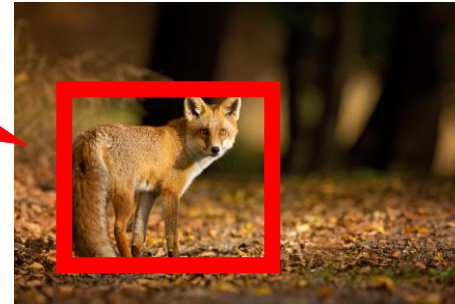
😐 This is just repeating the definition.

- The element-based description is useful if you want to **intentionally hide** the complex relationships.

Set-based? Element-based?

- Consider an object detector f , which inputs $H \times W \times 3$ 8-bit image (each pixels is in $\{0, \dots, 255\}$) and returns the followings.

Label $l \in \{1, \dots, K\}$
Bounding box $\mathbf{b} = [y, x, h, w]^T \in \mathbb{N}^4$
Confidence score $\alpha \in \mathbb{R}$



- Set-based precise description is complex:

✓ $f: \{0, \dots, 255\}^{H \times W \times 3} \rightarrow \{1, \dots, K\} \times \mathbb{N}^4 \times \mathbb{R}$

😬 The pixel value range is not important.
Don't want to use alphabets H and W here.

😬 Not so much clear about the right side.
What is each variable?

- May be better to moderately hide the detail:

"We consider a K -class object detector $f: I \mapsto (l, \mathbf{b}, \alpha)$. This function inputs an image I , and returns a label $l \in \{1, \dots, K\}$, a bbox $\mathbf{b} = [y, x, h, w]^T \in \mathbb{N}^4$, and a confidence $\alpha \in \mathbb{R}$ "

Equations

- Basic notation for variables
- String
- Tips for sets
- Inputs/outputs of functions
- **Subscript/superscript**
- Don't write numpy
- Misc

Pseudo codes

- Basic
- What do you want to express?
- Misc

Subscript/superscript

- Superscripts and subscripts are used to provide additional information to a variable.



- Principal: **As little use as possible!**
- $x_{i,j}^k$ Three variables: 😞 No! Hard to follow...
- x_{a_i} Subscript of subscript: 😞 No! Hard to follow...
- Alternatives for subscript/superscript: decorations
 - e.g., \hat{x} , \bar{x}
 - No: $x_{\text{mean}} = \frac{1}{N} \sum x_n$ Recommend: $\bar{x} = \frac{1}{N} \sum x_n$

Subscript/superscript

- Tips: a loop index can be removed by re-define a variable.
- Consider $\mathcal{V} = \{v_n\}_{n=1}^N$

➤ 😐 "Here, $f(v_n)$ is ..."

n is not important

```
for n in range(len(V)):  
    f(V[n])
```

➤ 😊 "Let us consider $v \in \mathcal{V}$. Here, $f(v)$ is ..."

Remove it!

```
for v in V:  
    f(v)
```


Subscript/superscript

- Don't make the subscript bold (very typical mistake)

✓ 😞 \mathbf{x}_i

✓ 😊 \mathbf{x}_i

- Natural interpretation is that i is a vector to indicate identifiers, e.g., $i = [1, 2]$, results in $x_{1,2}$
- Moreover, this mistake smells amateurish.

- If two+ letters are used in the meaning of a label, make it Roman.

✓ \mathbf{x}_{in} OK


✓ $\mathbf{x}_{\{in\}}$ Not recommend

- This can be interpreted as

✓ $j = i * n$

✓ x_j

Subscript/superscript

- Don't put variables with different meanings in sub/superscript.
- Consider $\mathcal{V} = \{\mathbf{v}_n\}_{n=1}^N$, where $\mathbf{v}_n \in \mathbb{R}^D$
- How to denote d -th element of n -th vector?
 - ✓ 😞 $v_{n,d} \in \mathbb{R}$ Not recommend! n and d have different meanings!
 - ✓ 😬 $v_n[d] \in \mathbb{R}$: OK. Combining square brackets.
 - ✓ 😊 $v_d \in \mathbb{R}$ or $v[d] \in \mathbb{R}$ for $\mathbf{v} \in \mathcal{V}$: Clear.
- Tips: You can stack \mathcal{V} to form a matrix $\mathbf{V} \in \mathbb{R}^{D \times N}$ 
 - ✓ Then, $v_{d,n} \in \mathbb{R}$ or $v[d,n] \in \mathbb{R}$ is OK (usual matrix element access)

(n, d) is now (d, n). Be careful!
- Vector-set (\mathcal{V}) to matrix (\mathbf{V}) doesn't consume an alphabet.

Equations

- Basic notation for variables
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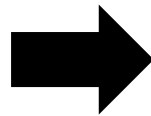
Pseudo codes

- Basic
- What do you want to express?
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Don't write numpy

- Many people write numpy descriptions directly in equations. It's wrong.

```
a = np.array([5, 4, 3])  
b = a - 3
```



```
a = [5, 4, 3]  
b = a - 3
```

😞 A horrible mistake!

- Numpy can broadcast a scalar
- We can get $b = [2, 1, 0]$

- Math equations don't broadcast.
- This operation is undefined!

- Recommend:

- $\mathbf{a} = [5, 4, 3]^T$. $\mathbf{b} = \mathbf{a} - 3\mathbf{1}$

- Use bold
- Column-vectors

- Use all-one vector $\mathbf{1} = [1, 1, \dots]^T$

Specific examples of failures

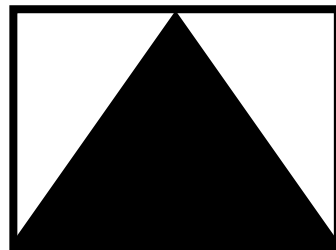
- Let X and Y be 3-channel images.
- Consider a mask matrix B whose elements are 0 or 1.
- We adopt X when the value of the mask is one and Y when the value of the mask is zero.
- Z , the resulting image combining X and Y , is computed as follows.

$$Z = BX + (1 - B)Y$$



Z

=

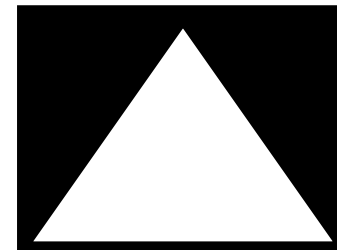


B



X

+



$1 - B$



Y

There are three big mistakes... Can you guess? 🤔

Specific examples of failures

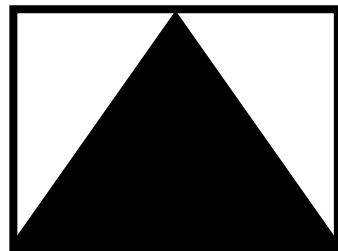
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$$\mathbf{Z} = \mathbf{B}\mathbf{X} + (1 - \mathbf{B})\mathbf{Y}$$



$$\mathbf{Z} \in \mathbb{R}^{H \times W \times 3}$$

=

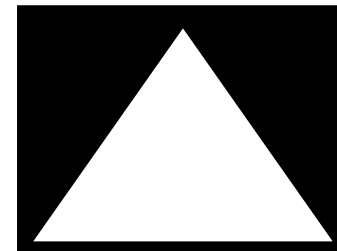


$$\mathbf{B} \in \{0, 1\}^{H \times W}$$



$$\mathbf{X} \in \mathbb{R}^{H \times W \times 3}$$

+



$$1 - \mathbf{B}$$



$$\mathbf{Y} \in \mathbb{R}^{H \times W \times 3}$$

First, make the variables bold, and write domains

Specific examples of failures

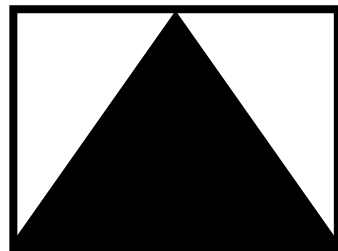
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$\mathbf{Z} \in \mathbb{R}^{H \times W \times 3}$

=

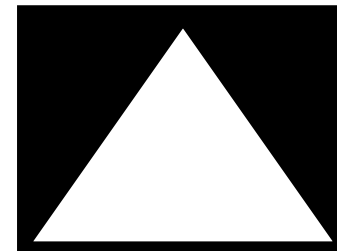


$\mathbf{B} \in \{0, 1\}^{H \times W}$



$\mathbf{X} \in \mathbb{R}^{H \times W \times 3}$

+



$1 - \mathbf{B}$



$\mathbf{Y} \in \mathbb{R}^{H \times W \times 3}$

First, make the variables bold, and write domains

Mistake 1: Broadcast happens here!
We need to use an all-one matrix.

Specific examples of failures

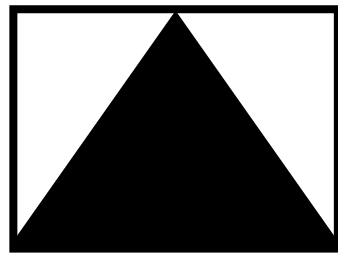
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$$Z = BX + (1 - B)Y$$



$$Z \in \mathbb{R}^{H \times W \times 3}$$

=

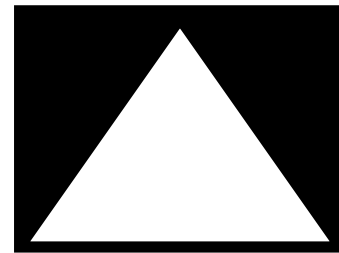


$$B \in \{0, 1\}^{H \times W}$$



$$X \in \mathbb{R}^{H \times W \times 3}$$

+



$$1 - B$$



$$Y \in \mathbb{R}^{H \times W \times 3}$$

Specific examples of failures

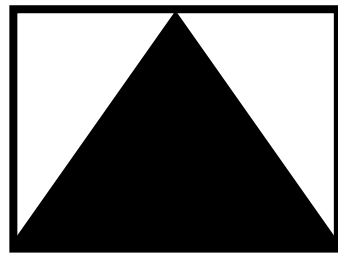
- Let X and Y be 3-channel images.
- **Mistake2: BX is matrix-multiplication! We need per-element multiplication (Hadamard product) \odot when the value of the mask is zero.**
- Z , the resulting image combining X and Y , is computed as follows.

$$Z = BX + (1 - B)Y$$



$$Z \in \mathbb{R}^{H \times W \times 3}$$

=

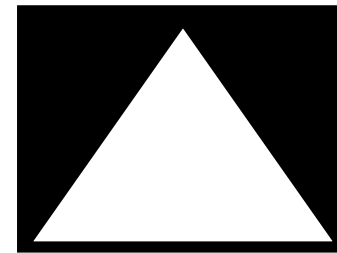


$$B \in \{0, 1\}^{H \times W}$$



$$X \in \mathbb{R}^{H \times W \times 3}$$

+



$$1 - B$$



$$Y \in \mathbb{R}^{H \times W \times 3}$$

Specific examples of failures

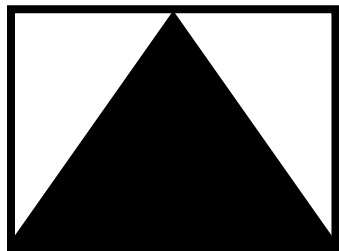
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- Z , the resulting image combining X and Y , is computed as follows.

$$Z = B \odot X + (1 - B) \odot Y$$



$$Z \in \mathbb{R}^{H \times W \times 3}$$

=



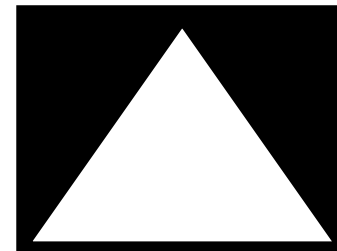
$$B \in \{0, 1\}^{H \times W}$$

\odot



$$X \in \mathbb{R}^{H \times W \times 3}$$

+



$$1 - B$$

\odot



$$Y \in \mathbb{R}^{H \times W \times 3}$$

Specific examples of failures

- Let X and Y be 3-channel images.
- Consider a mask matrix B whose elements are 0 or 1.
- We adopt X when the value of the mask is one and Y when the value of the mask is zero.
- Z , the resulting image combining X and Y , is computed as follows.

$$Z = B \odot X + (1 - B) \odot Y$$



$Z \in \mathbb{R}^{H \times W \times 3}$ $B \in \{0, 1\}^{H \times W}$ $X \in \mathbb{R}^{H \times W \times 3}$ $1 - B$ $Y \in \mathbb{R}^{H \times W \times 3}$

Mistake3: $B \odot X$ still doesn't work because the tensor shape is different! $H \times W$ vs $H \times W \times 3$

🤖 80% computer vision papers ignore this issue...

Specific examples of failures

- Let X and Y be 3-channel images.
- Consider a mask matrix B whose elements are 0 or 1.
- We adopt X when the value of the mask is one and Y when the value of the mask is zero.
- Z , the resulting image combining X and Y , is computed as follows.

$$Z = B \odot X + (1 - B) \odot Y$$



Solution 1: Define B as a stack of the original B , making the shape same.

Specific examples of failures

- Let X and Y be 3-channel images.
- Consider a mask matrix B whose elements are 0 or 1.
- We adopt X when the value of the mask is one and Y when the value of the mask is zero.
- Z , the resulting image combining X and Y , is computed as follows.

$$Z = B \odot X + (1 - B) \odot Y$$



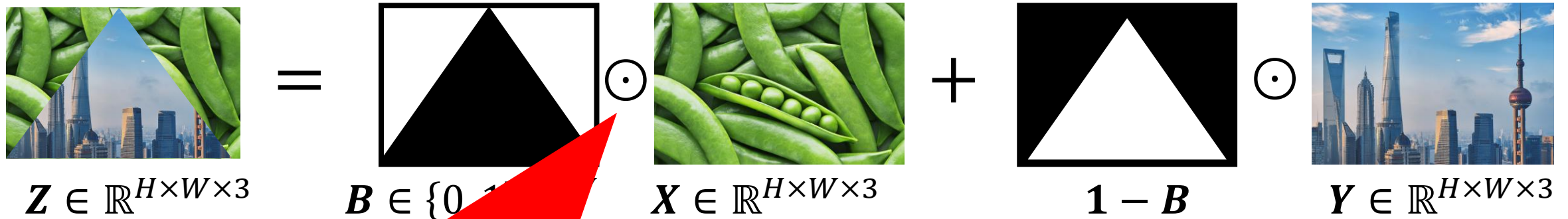
$Z \in \mathbb{R}^{H \times W \times 3}$ $B \in \{0, 1\}^{H \times W}$ $X \in \mathbb{R}^{H \times W \times 3}$ $1 - B$ $Y \in \mathbb{R}^{H \times W \times 3}$

Solution 2: Describe everything per channel, then combine the results.

Specific examples of failures

- Let X and Y be 3-channel images.
- Consider a mask matrix B whose elements are 0 or 1.
- We adopt X when the value of the mask is one and Y when the value of the mask is zero.
- Z , the resulting image combining X and Y , is computed as follows.

$$Z = B \odot X + (1 - B) \odot Y$$



Solution 3: Define \odot loosely, e.g.,

²Similar to many papers, we also abuse this operator slightly; $X \odot (1 - t(\phi(V)))$ computes the element-wise product between $(1 - t(\phi(V)))$ and each channel of X .

<https://arxiv.org/abs/2311.15994>

Equations

- Basic notation for variables
- String
- Tips for sets
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- Don't write numpy
- **Misc**

Pseudo codes

- Basic
- What do you want to express?
- Misc

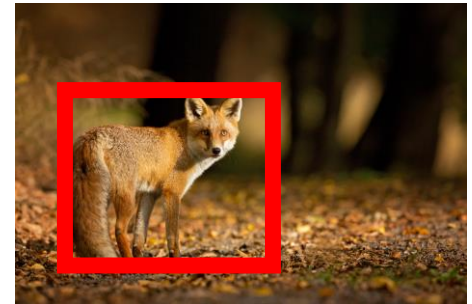
Misc

- Don't write English words in equations
 - 😞 $y = score(x) + 10$
 - 😊 $y = s(x) + 10$
- This can be interpreted as $s * core(x)$
- If you're not 100% confident, don't use quantifiers (\forall, \exists).
- If you use variables, please always define and explain them. E.g., if you write $y = ax + b$, then explain y, a, x, b .
- If it is too difficult to describe what you are trying to explain in mathematical equations, please explain them in writing and figures.
 - ✓ 😞 Writing half-wrong equations are terrible.

Tuple 🍡

- (something like) an ordered-set: e.g., $a = (10, 20, 30)$
- Similar to a set:
 - ✓ Can contain any elements: e.g., $b = ([1, 2, 3]^T, "a", 25)$
 - ✓ Remember: $f: I \mapsto (l, \mathbf{b}, \alpha)$
- But the order is decided:
 - ✓ $(1, 2, 3) \neq (2, 1, 3)$
 - ✓ $\{1, 2, 3\} = \{2, 1, 3\}$
- Can contain duplicates: $a = (1, 1, 3)$
- Similar to a vector:
 - ✓ $\mathbf{c} = [1, 2, 3]^T$ vs $d = (1, 2, 3)$
- But (usually) no mathematical structures:
 - ✓ E.g., the addition is not defined: $(1, 2, 3) + (4, 5, 6)$

This was a tuple



No!

Cheat-sheet

Not-recommend	OK	Reason
Write a vector as x	Write a vector as \boldsymbol{x}	Use a bold font for a vector.
$\boldsymbol{x} = [1, 2, 3]$	$\boldsymbol{x} = [1, 2, 3]^T$	Use column-vectors.
Consider D -dim vector x	Consider D -dim vector $\boldsymbol{x} \in \mathbb{R}^D$	Show the domain.
$x_{i,j}^k$ Many sub-/superscripts	Don't use so much.	Hard to understand.
x_{a_i} subscript of subscript	Avoid.	Hard to understand.
\boldsymbol{x}_i	\boldsymbol{x}_i	Don't make an index bold.
x_{in}	x_{in}	Labels should be roman.
$score(x) + 10$	$s(x) + 10$	Don't use English words.
$a \in \mathbb{R}^3, b \in \mathbb{R}$, then $a + b$	$\boldsymbol{a} + b\mathbf{1}$	Don't broadcast.
For element-wise product, $\boldsymbol{A}\boldsymbol{B}$	$\boldsymbol{A} \odot \boldsymbol{B}$	Element-wise product is Hadamard product.
Using \forall, \exists but not 100% confident	Don't use	You are wrong.

Equations

- Basic notation for variables
- String
- Tips for sets
- Inputs/outputs of functions
- Subscript/superscript
- Don't write numpy
- Misc

Pseudo codes

- **Basic**
- What do you want to express?
- Misc

Pseudo-code: Basic

- What is pseudo-code?
 - ✓ Describe an algorithm
 - ✓ OK to use mathematical equations
 - ✓ OK to use data structures
 - ✓ Highlight the key idea
 - ✓ Many ways to explain the idea

```

procedure CP-ALS( $\mathcal{X}, R$ )
  initialize  $\mathbf{A}^{(n)} \in \mathbb{R}^{I_n \times R}$  for  $n = 1, \dots, N$ 
  repeat
    for  $n = 1, \dots, N$  do
       $\mathbf{V} \leftarrow \mathbf{A}^{(1)\top} \mathbf{A}^{(1)} * \dots * \mathbf{A}^{(n-1)\top} \mathbf{A}^{(n-1)} * \mathbf{A}^{(n+1)\top} \mathbf{A}^{(n+1)} * \dots * \mathbf{A}^{(N)\top} \mathbf{A}^{(N)}$ 
       $\mathbf{A}^{(n)} \leftarrow \mathbf{X}^{(n)} (\mathbf{A}^{(N)} \odot \dots \odot \mathbf{A}^{(n+1)} \odot \mathbf{A}^{(n-1)} \odot \dots \odot \mathbf{A}^{(1)}) \mathbf{V}^\dagger$ 
      normalize columns of  $\mathbf{A}^{(n)}$  (storing norms as  $\lambda$ )
    end for
  until fit ceases to improve or maximum iterations exhausted
  return  $\lambda, \mathbf{A}^{(1)}, \mathbf{A}^{(2)}, \dots, \mathbf{A}^{(N)}$ 
end procedure
  
```

Fig. 3.3 ALS algorithm to compute a CP decomposition with R components for an N th-order tensor \mathcal{X} of size $I_1 \times I_2 \times \dots \times I_N$.

Kolda and Bader, "Tensor Decompositions and Applications", SIAM Review, 2009.

Algorithm 3 SVD1 : QB-backed low-rank SVD (see [HMT11] and [RST10])

```

1: function SVD1( $\mathbf{A}, k, \epsilon, s$ )
  Inputs:
     $\mathbf{A}$  is an  $m \times n$  matrix. The returned approximation will have rank at most  $k$ . The approximation produced by the randomized phase of the algorithm will attempt to  $\mathbf{A}$  to within  $\epsilon$  error, but will not produce an approximation of rank greater than  $k + s$ .
  Output:
    The compact SVD of a low-rank approximation of  $\mathbf{A}$ .
  Abstract subroutines:
    QBDecomposer generates a QB decomposition of a given matrix; it tries to reach a prescribed error tolerance but may stop early if it reaches a prescribed rank limit.
2:  $\mathbf{Q}, \mathbf{B} = \text{QBDecomposer}(\mathbf{A}, k + s, \epsilon) \# \mathbf{QB} \approx \mathbf{A}$ 
3:  $r = \min\{k, \text{number of columns in } \mathbf{Q}\}$ 
4:  $\mathbf{U}, \mathbf{\Sigma}, \mathbf{V}^* = \text{svd}(\mathbf{B})$ 
5:  $\mathbf{U} = \mathbf{U}[:, : r]$ 
6:  $\mathbf{V} = \mathbf{V}[:, : r]$ 
7:  $\mathbf{\Sigma} = \mathbf{\Sigma}[:, r, : r]$ 
8:  $\mathbf{U} = \mathbf{Q}\mathbf{U}$ 
9: return  $\mathbf{U}, \mathbf{\Sigma}, \mathbf{V}^*$ 
  
```

Murray+, "Randomized Numerical Linear Algebra : A Perspective on the Field With an Eye to Software", arXiv 2023

Pseudo-code: Basic

- What is pseudo-code?
 - ✓ Describe an algorithm
 - ✓ OK to use mathematical equations
 - ✓ OK to use data structures
 - ✓ Highlight the key idea
 - ✓ Many ways to explain the idea

Inputs / outputs description

```

procedure CP-ALS( $\mathcal{X}, R$ )
  initialize  $\mathbf{A}^{(n)} \in \mathbb{R}^{I_n \times R}$  for  $n = 1, \dots, N$ 
  repeat
    for  $n = 1, \dots, N$  do
       $\mathbf{V} \leftarrow \mathbf{A}^{(1)\top} \mathbf{A}^{(1)} * \dots * \mathbf{A}^{(n-1)\top} \mathbf{A}^{(n-1)} * \mathbf{A}^{(n+1)\top} \mathbf{A}^{(n+1)} * \dots * \mathbf{A}^{(N)\top} \mathbf{A}^{(N)}$ 
       $\mathbf{A}^{(n)} \leftarrow \mathbf{X}^{(n)} (\mathbf{A}^{(N)} \odot \dots \odot \mathbf{A}^{(n+1)} \odot \mathbf{A}^{(n-1)} \odot \dots \odot \mathbf{A}^{(1)}) \mathbf{V}^\dagger$ 
      normalize columns of  $\mathbf{A}^{(n)}$  (storing norms as  $\lambda$ )
    end for
  until fit ceases to improve or maximum iterations exhausted
  return  $\lambda, \mathbf{A}^{(1)}, \mathbf{A}^{(2)}, \dots, \mathbf{A}^{(N)}$ 
end procedure
    
```

Algorithm 3 SVD-based low-rank SVD (see [HMT11] and [RST10])

```

1: function SVD( $\mathbf{A}, k, \epsilon, s$ )
    
```

Inputs:
 \mathbf{A} is an $m \times n$ matrix. The returned approximation will have rank at most k . The approximation produced by the randomized phase of the algorithm will attempt to approximate \mathbf{A} to within ϵ error, but will not produce an approximation of rank greater than $k + s$.

Output:
 The compact SVD of a low-rank approximation of \mathbf{A} .

```

Abstract subroutines:
  QBDecomposer generates a QB decomposition of a given matrix; it tries to reach a prescribed error tolerance but may stop early if it reaches a prescribed rank limit.

2:  $\mathbf{Q}, \mathbf{B} = \text{QBDecomposer}(\mathbf{A}, k + s, \epsilon) \# \mathbf{QB} \approx \mathbf{A}$ 
3:  $r = \min\{k, \text{number of columns in } \mathbf{Q}\}$ 
4:  $\mathbf{U}, \mathbf{\Sigma}, \mathbf{V}^* = \text{svd}(\mathbf{B})$ 
5:  $\mathbf{U} = \mathbf{U}[:, : r]$ 
6:  $\mathbf{V} = \mathbf{V}[:, : r]$ 
7:  $\mathbf{\Sigma} = \mathbf{\Sigma}[:, r, : r]$ 
8:  $\mathbf{U} = \mathbf{Q}\mathbf{U}$ 
9: return  $\mathbf{U}, \mathbf{\Sigma}, \mathbf{V}^*$ 
    
```

Fig. 3.3 ALS algorithm to compute a CP decomposition with R components for an N th-order tensor \mathcal{X} of size $I_1 \times I_2 \times \dots \times I_N$.

Kolda and Bader, "Tensor Decompositions and Applications", SIAM Review, 2009.

Murray+, "Randomized Numerical Linear Algebra : A Perspective on the Field With an Eye to Software", arXiv 2023

Pseudo-code: Basic

- What is pseudo-code?
 - ✓ Describe an algorithm
 - ✓ OK to use mathematical equations
 - ✓ OK to use data structures
 - ✓ Highlight the key idea
 - ✓ Many ways to explain the idea

```

procedure CP-ALS( $\mathcal{X}, R$ )
  initialize  $\mathbf{A}^{(n)} \in \mathbb{R}^{I_n \times R}$ 
  repeat
    for  $n = 1, \dots, N$  do
       $\mathbf{V} \leftarrow \mathbf{A}^{(1)\top} \mathbf{A}^{(1)} * \dots * \mathbf{A}^{(n-1)\top} \mathbf{A}^{(n-1)} * \mathbf{A}^{(n+1)\top} \mathbf{A}^{(n+1)} * \dots * \mathbf{A}^{(N)\top} \mathbf{A}^{(N)}$ 
       $\mathbf{A}^{(n)} \leftarrow \mathbf{X}^{(n)} (\mathbf{A}^{(N)} \odot \dots \odot \mathbf{A}^{(n+1)} \odot \mathbf{A}^{(n-1)} \odot \dots \odot \mathbf{A}^{(1)}) \mathbf{V}^\dagger$ 
      normalize columns of  $\mathbf{A}^{(n)}$  (storing norms as  $\lambda$ )
    end for
  until fit ceases to improve or maximum iterations exhausted
  return  $\lambda, \mathbf{A}^{(1)}, \mathbf{A}^{(2)}, \dots, \mathbf{A}^{(N)}$ 
end procedure
    
```

Can run other functions or pseudo-codes

Algorithm 3 SVD1 : QB-backed low-rank SVD (see [HMT11] and [RST10])

1: function SVD1($\mathbf{A}, k, \epsilon, s$)

Inputs:

\mathbf{A} is an $m \times n$ matrix. The returned approximation will have rank at most k . The approximation produced by the randomized phase of the algorithm will attempt to approximate \mathbf{A} to within ϵ error, but will not produce an approximation of rank greater than $k + s$.

Output:

The compact SVD of a low-rank approximation of \mathbf{A} .

Abstract subroutines:

QBDecomposer generates a QB decomposition of a given matrix; it tries to reach a prescribed error tolerance but may stop early if it reaches a prescribed rank limit.

```

2:  $\mathbf{Q}, \mathbf{B} = \text{QBDecomposer}(\mathbf{A}, k + s, \epsilon)$  #  $\mathbf{QB} \approx \mathbf{A}$ 
3:  $r = \min\{k, \text{number of columns in } \mathbf{Q}\}$ 
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5:  $\mathbf{U} = \mathbf{U}[:, : r]$ 
6:  $\mathbf{V} = \mathbf{V}[:, : r]$ 
7:  $\mathbf{\Sigma} = \mathbf{\Sigma}[:, : r]$ 
8:  $\mathbf{U} = \mathbf{Q}\mathbf{U}$ 
9: return  $\mathbf{U}, \mathbf{\Sigma}, \mathbf{V}^*$ 
    
```

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Pseudo-code: Basic

- What is pseudo-code?
 - ✓ Describe an algorithm
 - ✓ OK to use mathematical equations
 - ✓ OK to use data structures
 - ✓ Highlight the key idea
 - ✓ Many ways to explain the idea

```

procedure CP-ALS( $\mathcal{X}, R$ )
  initialize  $\mathbf{A}^{(n)} \in \mathbb{R}^{I_n \times R}$  for  $n = 1, \dots, N$ 
  repeat
    for  $n = 1, \dots, N$  do
       $\mathbf{V} \leftarrow \mathbf{A}^{(1)\top} \mathbf{A}^{(1)} * \dots * \mathbf{A}^{(n-1)\top} \mathbf{A}^{(n-1)} * \mathbf{A}^{(n+1)\top} \mathbf{A}^{(n+1)} * \dots * \mathbf{A}^{(N)\top} \mathbf{A}^{(N)}$ 
       $\mathbf{A}^{(n)} \leftarrow \mathbf{X}^{(n)} (\mathbf{A}^{(N)} \odot \dots \odot \mathbf{A}^{(n+1)} \odot \mathbf{A}^{(n-1)} \odot \dots \odot \mathbf{A}^{(1)}) \mathbf{V}^\dagger$ 
      normalize columns of  $\mathbf{A}^{(n)}$  (storing norms as  $\lambda$ )
    end for
  until fit ceases to improve or maximum iterations exhausted
  return  $\lambda, \mathbf{A}^{(1)}, \mathbf{A}^{(2)}, \dots, \mathbf{A}^{(N)}$ 
end procedure
    
```

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Algorithm 3 SVD1 : QB-backed low-rank SVD (see [HMT11] and [RST10])

1: **function** SVD1($\mathbf{A}, k, \epsilon, s$)

Inputs:

\mathbf{A} is an $m \times n$ matrix. The returned approximation will have rank at most k . The approximation produced by the randomized phase of the algorithm will attempt to approximate \mathbf{A} to within ϵ error, but will not produce an approximation of rank greater than $k + s$.

Output:

The compact SVD of a low-rank matrix.

Abstract subroutines:

QBDecomposer generates a QB decomposition of a given matrix; it tries to reach a prescribed error tolerance but may stop early if it reaches a prescribed rank limit.

```

2:  $\mathbf{Q}, \mathbf{B} = \text{QBDecomposer}(\mathbf{A}, k + s, \epsilon)$  #  $\text{QB} \approx \mathbf{A}$ 
3:  $r = \min\{k, \text{number of columns in } \mathbf{Q}\}$ 
4:  $\mathbf{U}, \mathbf{\Sigma}, \mathbf{V}^* = \text{svd}(\mathbf{B})$ 
5:  $\mathbf{U} = \mathbf{U}[:, :r]$ 
6:  $\mathbf{V} = \mathbf{V}[:, :r]$ 
7:  $\mathbf{\Sigma} = \mathbf{\Sigma}[:, r, :r]$ 
8:  $\mathbf{U} = \mathbf{Q}\mathbf{U}$ 
9: return  $\mathbf{U}, \mathbf{\Sigma}, \mathbf{V}^*$ 
    
```

Comments

Murray+, "Randomized Numerical Linear Algebra : A Perspective on the Field With an Eye to Software", arXiv 2023

Pseudo-code: Basic

- What is pseudo-code?
 - ✓ Describe an algorithm
 - ✓ OK to use mathematical equations
 - ✓ OK to use data structures

- Can use usual sentences to represent complex operations.
- If you think it's hard to write your operations by equations, use sentences.

```

procedure CP-ALS( $\mathcal{X}, R$ )
  initialize  $\mathbf{A}^{(n)} \in \mathbb{R}^{I_n \times R}$  for  $n = 1, \dots, N$ 
  repeat
    for  $n = 1, \dots, N$  do
       $\mathbf{V} \leftarrow \mathbf{A}^{(1)\top} \mathbf{A}^{(1)} * \dots * \mathbf{A}^{(n-1)\top} \mathbf{A}^{(n-1)} * \mathbf{A}^{(n+1)\top} \mathbf{A}^{(n+1)} * \dots * \mathbf{A}^{(N)\top} \mathbf{A}^{(N)}$ 
       $\mathbf{A}^{(n)} \leftarrow \mathbf{X}^{(n)} (\mathbf{A}^{(N)} \odot \dots \odot \mathbf{A}^{(n+1)} \odot \mathbf{A}^{(n-1)} \odot \dots \odot \mathbf{A}^{(1)}) \mathbf{V}^\dagger$ 
      normalize columns of  $\mathbf{A}^{(n)}$  (storing norms as  $\lambda$ )
    end for
  until fit ceases to improve or maximum iterations exhausted
  return  $\lambda, \mathbf{A}^{(1)}, \mathbf{A}^{(2)}, \dots, \mathbf{A}^{(N)}$ 
end procedure
    
```

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1: **function** SVD1($\mathbf{A}, k, \epsilon, s$)

Inputs:

The compact SVD of a low-rank approximation of \mathbf{A} .

Abstract subroutines:

QBDecomposer generates a QB decomposition of a given matrix; it tries to reach a prescribed error tolerance but may stop early if it reaches a prescribed rank limit.

```

2:  $\mathbf{Q}, \mathbf{B} = \text{QBDecomposer}(\mathbf{A}, k + s, \epsilon) \# \mathbf{QB} \approx \mathbf{A}$ 
3:  $r = \min\{k, \text{number of columns in } \mathbf{Q}\}$ 
4:  $\mathbf{U}, \mathbf{\Sigma}, \mathbf{V}^* = \text{svd}(\mathbf{B})$ 
5:  $\mathbf{U} = \mathbf{U}[:, : r]$ 
6:  $\mathbf{V} = \mathbf{V}[:, : r]$ 
7:  $\mathbf{\Sigma} = \mathbf{\Sigma}[:, r, : r]$ 
8:  $\mathbf{U} = \mathbf{Q}\mathbf{U}$ 
9: return  $\mathbf{U}, \mathbf{\Sigma}, \mathbf{V}^*$ 
    
```

Murray+, "Randomized Numerical Linear Algebra : A Perspective on the Field With an Eye to Software", arXiv 2023

Pseudo-code: Basic

- Look very different
- Left: more pseudo-code-ish
- Right: more like equations (this is actually MATLAB-ish)

- ✓ OK to use mathematical structures
- ✓ Highlight the main idea
- ✓ Many ways to explain the idea

```

procedure CP-ALS( $\mathcal{X}, R$ )
  initialize  $\mathbf{A}^{(n)} \in \mathbb{R}^{I_n \times R}$  for  $n = 1, \dots, N$ 
  repeat
    for  $n = 1, \dots, N$  do
       $\mathbf{V} \leftarrow \mathbf{A}^{(1)\top} \mathbf{A}^{(1)} * \dots * \mathbf{A}^{(n-1)\top} \mathbf{A}^{(n-1)} * \mathbf{A}^{(n+1)\top} \mathbf{A}^{(n+1)} * \dots * \mathbf{A}^{(N)\top} \mathbf{A}^{(N)}$ 
       $\mathbf{A}^{(n)} \leftarrow \mathbf{X}^{(n)} (\mathbf{A}^{(N)} \odot \dots \odot \mathbf{A}^{(n+1)} \odot \mathbf{A}^{(n-1)} \odot \dots \odot \mathbf{A}^{(1)}) \mathbf{V}^\dagger$ 
      normalize columns of  $\mathbf{A}^{(n)}$  (storing norms as  $\lambda$ )
    end for
  until fit ceases to improve or maximum iterations exhausted
  return  $\lambda, \mathbf{A}^{(1)}, \mathbf{A}^{(2)}, \dots, \mathbf{A}^{(N)}$ 
end procedure
  
```

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Kolda and Bader, "Tensor Decompositions and Applications", SIAM Review, 2009.

SVD (see [HMT11] and [RST10])

returned approximation will have rank at most $k + s$ produced by the randomized phase of the algorithm to \mathbf{A} to within ϵ error, but will not produce any singular values of rank greater than $k + s$.

Output: $\mathbf{Q}, \mathbf{\Sigma}, \mathbf{V}^*$

The output is a low-rank approximation of \mathbf{A} .

Abstract syntax:

QBDecomposer generates a QB decomposition of a given matrix; it tries to reach a prescribed error tolerance but may stop early if it reaches a prescribed rank limit.

```

2:  $\mathbf{Q}, \mathbf{B} = \text{QBDecomposer}(\mathbf{A}, k + s, \epsilon) \# \mathbf{QB} \approx \mathbf{A}$ 
3:  $r = \min\{k, \text{number of columns in } \mathbf{Q}\}$ 
4:  $\mathbf{U}, \mathbf{\Sigma}, \mathbf{V}^* = \text{svd}(\mathbf{B})$ 
5:  $\mathbf{U} = \mathbf{U}[:, :r]$ 
6:  $\mathbf{V} = \mathbf{V}[:, :r]$ 
7:  $\mathbf{\Sigma} = \mathbf{\Sigma}[:, :r]$ 
8:  $\mathbf{U} = \mathbf{Q}\mathbf{U}$ 
9: return  $\mathbf{U}, \mathbf{\Sigma}, \mathbf{V}^*$ 
  
```

Murray+, "Randomized Numerical Linear Algebra : A Perspective on the Field With an Eye to Software", arXiv 2023

Pseudo-code: Basic

- Compared to equations, it's more like coding.
 - ✓ Assigning operation is usual: $a \leftarrow a + 1$

- Fonts

- ✓ `\textsc{Clustering}` CLUSTERING
- ✓ `\texttt{Clustering}` Clustering

Can be used for function names

In Powerpoint, use "Consolas" font. Like this.

- Several TeX packages <https://www.overleaf.com/learn/latex/Algorithms>

```
1:  $i \leftarrow 10$ 
2: if  $i \geq 5$  then
3:    $i \leftarrow i - 1$ 
4: else
5:   if  $i \leq 3$  then
6:      $i \leftarrow i + 2$ 
7:   end if
8: end if
```

Algorithm 1 An algorithm with caption

```
Require:  $n \geq 0$ 
Ensure:  $y = x^n$ 
 $y \leftarrow 1$ 
 $X \leftarrow x$ 
 $N \leftarrow n$ 
while  $N \neq 0$  do
  if  $N$  is even then
     $X \leftarrow X \times X$ 
     $N \leftarrow \frac{N}{2}$  ▷ This is a comment
  else if  $N$  is odd then
     $y \leftarrow y \times X$ 
     $N \leftarrow N - 1$ 
  end if
end while
```

Algorithm 1: An algorithm with caption

```
Data:  $n \geq 0$ 
Result:  $y = x^n$ 
 $y \leftarrow 1$ ;
 $X \leftarrow x$ ;
 $N \leftarrow n$ ;
while  $N \neq 0$  do
  if  $N$  is even then
     $X \leftarrow X \times X$ ;
     $N \leftarrow \frac{N}{2}$ ; /* This is a comment */
  else
    if  $N$  is odd then
       $y \leftarrow y \times X$ ;
       $N \leftarrow N - 1$ ;
    end
  end
end while
end
```

Pseudo-code: Basic

- Again, consistency is important!
 - ✓ 😊 OK: $a \leftarrow a + 1 \dots b \leftarrow f(x)$
 - ✓ 😞 NO!: $a \leftarrow a + 1 \dots b = f(x)$
- You can mix a mathematical way and a coding way
 - ✓ $x \leftarrow \frac{1}{\text{Pop}(v)} \int_0^a f(\theta) d\theta$
- Don't write too much! Pseudo-code should be simple.
- Several styles
 - $v.\text{push_back}(a)$, $\text{Append}(v, a)$, $v \leftarrow [v|a]$ Assuming a row vector
 - 😞 Again, don't use italic for function: $v.\textit{push_back}(a)$

Equations

- Basic notation for variables
- String
- Tips for sets
- Inputs/outputs of functions
- Subscript/superscript
- Don't write numpy
- Misc

Pseudo codes

- Basic
- **What do you want to express?**
- Misc

What do you want to express?

- The design of pseudo-code depends on what you want to express.
- Consider `std::vector<int> arr` in your code
- If you use `arr` to represent a set of integers,
 - ✓ You can use a set:
 - ✓ $\mathcal{A} \leftarrow \emptyset$: initialization
 - ✓ $\mathcal{A} \leftarrow \mathcal{A} \cup \{x\}$: add
 - ✓ $\mathcal{A} \leftarrow \mathcal{A} \setminus \{y\}$: delete
- If $O(1)$ access is important, or use it in a mathematical context,
 - ✓ You should use an array (vector)
 - ✓ $\mathbf{a} \in \mathbb{R}^D$
 - ✓ $\mathbf{a}[i]$ or a_i : Implies an $O(1)$ access.

Case study: Beam search for neighbor search

Algorithm 1. Search-on-Graph(G, p, q, l)

Require: graph G , start node p , query point q , candidate pool size l

Ensure: k nearest neighbors of q

```
1:  $i = 0$ , candidate pool  $S = \emptyset$ 
2:  $S.add(p)$ 
3: while  $i < l$  do
4:    $i =$  the id of the first unchecked node  $p_i$  in  $S$ 
5:   mark  $p_i$  as checked
6:   for all neighbor  $n$  of  $p_i$  in  $G$  do
7:     if  $n$  has not been visited then
8:        $S.add(n)$ 
9:     end if
10:  end for
11:  sort  $S$  in ascending order of the distance to  $q$ 
12:  if  $S.size() > l$  then
13:     $S.resize(l)$  // remove nodes from back of  $S$  to keep its
14:    size no larger than  $l$ 
15:  end if
16: end while
17: return the first  $k$  nodes in  $S$ 
```

NSG [Cong+, VLDB 19]

Algorithm 1: GreedySearch(s, x_q, k, L)

Data: Graph G with start node s , query x_q , result size k , search list size $L \geq k$

Result: Result set \mathcal{L} containing k -approx NNs, and a set \mathcal{V} containing all the visited nodes

begin

```
  initialize sets  $\mathcal{L} \leftarrow \{s\}$  and  $\mathcal{V} \leftarrow \emptyset$ 
  while  $\mathcal{L} \setminus \mathcal{V} \neq \emptyset$  do
    let  $p^* \leftarrow \arg \min_{p \in \mathcal{L} \setminus \mathcal{V}} \|x_p - x_q\|$ 
    update  $\mathcal{L} \leftarrow \mathcal{L} \cup N_{out}(p^*)$  and
     $\mathcal{V} \leftarrow \mathcal{V} \cup \{p^*\}$ 
    if  $|\mathcal{L}| > L$  then
      update  $\mathcal{L}$  to retain closest  $L$ 
      points to  $x_q$ 
    end if
  end while
  return [closest  $k$  points from  $\mathcal{L}$ ;  $\mathcal{V}$ ]
```

DiskANN [Subramanya+, NeurIPS 19]

Algorithm 1 Beam search

Data: graph G , query q , initial vertex v_0 , output size k

Initialization:

$V = \{v_0\}$ // a set of visited vertices

$H = \{v_0 : d(v_0, q)\}$ // a heap of candidates

while has runtime budget **do**

```
   $v_i = \text{SelectNearest}(H, q)$ 
```

```
  for  $\hat{v} \in \text{Expand}(v_i, G)$  do
```

```
    if  $\hat{v} \notin V$  then
```

```
       $V := \text{Add}(V, \hat{v})$ 
```

```
       $H := \text{Insert}(H, \hat{v}, d(\hat{v}, q))$ 
```

```
    end
```

```
  end
```

end

return TopK(V, q, k)

Learning to route [Baranchuk+, ICML 19]

- All papers have totally different pseudo code for the almost same algorithm 🙄
- Hint: Explicitly state the data structure or not

Case study: Beam search for neighbor search

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Require: graph G , start node p , query point q , candidate pool size l

Ensure: k nearest neighbors of q

```
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3: while  $i < l$  do
4:    $i =$  the id of the first unchecked node  $p_i$  in  $S$ 
5:   mark  $p_i$  as checked
6:   for all neighbor  $n$  of  $p_i$  in  $G$  do
7:     if  $n$  has not been visited then
8:        $S.add(n)$ 
9:     end if
10:  end for
11:  sort  $S$  in ascending order of the distance to  $q$ 
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15:  end if
16: end while
17: return the first  $k$  nodes in  $S$ 
```

Candidates are stored in an array

Algorithm 1: GreedySearch(s, x_q, k, L)

Data: Graph G with start node s , query x_q , result size k , search list size $L \geq k$

Result: Result set \mathcal{L} containing k -approx NNs, and a set \mathcal{V} containing all the visited nodes

```
begin
  initialize sets  $\mathcal{L} \leftarrow \{s\}$  and  $\mathcal{V} \leftarrow \emptyset$ 
  while  $\mathcal{L} \setminus \mathcal{V} \neq \emptyset$  do
    let  $p^* \leftarrow \arg \min_{p \in \mathcal{L} \setminus \mathcal{V}} \|x_p - x_q\|$ 
    update  $\mathcal{L} \leftarrow \mathcal{L} \cup N_{out}(p^*)$  and
     $\mathcal{V} \leftarrow \mathcal{V} \cup \{p^*\}$ 
    if  $|\mathcal{L}| > L$  then
      update  $\mathcal{L}$  to retain closest  $L$ 
      points to  $x_q$ 
    end if
  end while
  return [closest  $k$  points from  $\mathcal{L}$ ;  $\mathcal{V}$ ]
```

Candidates are stored in a set

Algorithm 1 Beam search

Data: graph G , query q , initial vertex v_0 , output size k

Initialization:

$V = \{v_0\}$ // a set of visited vertices

$H = \{v_0 : d(v_0, q)\}$ // a heap of candidates

```
while has runtime budget do
   $v_i = \text{SelectNearest}(H, q)$ 
  for  $\hat{v} \in \text{Expand}(v_i, G)$  do
    if  $\hat{v} \notin V$  then
       $V := \text{Add}(V, \hat{v})$ 
       $H := \text{Insert}(H, \hat{v}, d(\hat{v}, q))$ 
    end if
  end for
end while
return TopK( $V, q, k$ )
```

Learning to route [Baranchuk+, ICML 19]

DiskANN [Subramanya+, NeurIPS 19]

NSG [Cong+, VLDB 19]

➤ Sort the array explicitly

When need to sort, say "closest L points"

Candidates are stored in a heap; automatically sorted

almost same algorithm 😞

➤ Hint: Explicitly state the data structure or not

Case study: Beam search for neighbor search

Algorithm 1. Search-on-Graph(G, p, q, l)

Require: graph G , start node p , query point q , candidate pool size l

Ensure: k nearest neighbors of q

```
1:  $i = 0$ , candidate pool  $S = \emptyset$ 
2:  $S.add(p)$ 
3: while  $i < l$  do
4:    $i =$  the id of the first unchecked node  $p_i$  in  $S$ 
5:   mark  $p_i$  as checked
6:   for all neighbor  $n$  of  $p_i$  in  $G$  do
7:     if  $n$  has not been visited then
8:        $S.add(n)$ 
9:     end if
10:  end for
11:  sort  $S$  in ascending order of the distance to  $q$ 
12:  if  $S.size() > l$  then
13:     $S.resize()$  // remove nodes from back of  $S$  to keep its
14:    size no larger than  $l$ 
15:  end if
16: end while
17: return the first  $k$  nodes in  $S$ 
```

NSG [Cong+, VLDB 19]

Algorithm 1: GreedySearch(s, x_q, k, L)

Data: Graph G with start node s , query x_q , result size k , search list size $L \geq k$

Result: Result set \mathcal{L} containing k -approx NNs, and a set \mathcal{V} containing all the visited nodes

begin

```
initialize sets  $\mathcal{L} \leftarrow \{s\}$  and  $\mathcal{V} \leftarrow \emptyset$ 
while  $\mathcal{L} \setminus \mathcal{V} \neq \emptyset$  do
  let  $p^* \leftarrow \arg \min_{p \in \mathcal{L} \setminus \mathcal{V}} \|x_p - x_q\|$ 
  update  $\mathcal{L} \leftarrow \mathcal{L} \cup N_{out}(p^*)$  and
   $\mathcal{V} \leftarrow \mathcal{V} \cup \{p^*\}$ 
  if  $|\mathcal{L}| > L$  then
    update  $\mathcal{L}$  to retain closest  $L$ 
    points to  $x_q$ 
  end if
end while
return [closest  $k$  points from  $\mathcal{L}; \mathcal{V}$ ]
```

DiskANN [Subramanya+, NeurIPS 19]

Checked items are stored in a set

Algorithm 1 Beam search

Data: graph G , query q , initial vertex v_0 , output size k

Initialization:

$V = \{v_0\}$ // a set of visited vertices

$H = \{v_0 : d(v_0, q)\}$ // a heap of candidates

while has runtime budget **do**

```
 $v_i =$  SelectNearest( $H, q$ )
```

```
for  $\hat{v} \in$  Expand( $v_i, G$ ) do
```

```
  if  $\hat{v} \notin V$  then
```

```
     $V :=$  Add( $V, \hat{v}$ )
```

```
     $H :=$  Insert( $H, \hat{v}, d(\hat{v}, q)$ )
```

```
  end
```

```
end
```

end

return TopK(V, q, k)

Learning to route [Baranchuk+, ICML 19]

- Just "check" have totally different pseudo code for the almost same algorithm 😞
- Hint: Explicitly state the data structure or not

Case study: Beam search for neighbor search

Algorithm 1. Search-on-Graph(G, p, q, l)

Require: graph G , start node p , query point q , candidate pool size l

Ensure: k nearest neighbors of q

```

1:  $i = 0$ , candidate pool  $S = \emptyset$ 
2:  $S.add(p)$ 
3: while  $i < l$  do
4:    $i =$  the id of the first unchecked node  $p_i$  in  $S$ 
5:   mark  $p_i$  as checked
6:   for all neighbor  $n$  of  $p_i$  in  $G$  do
7:     if  $n$  has not been visited then
8:        $S.add(n)$ 
9:     end if
10:  end for
11:  sort  $S$  in ascending order of the distance to  $q$ 
12:  if  $S.size() > l$  then
13:     $S.resize(l)$  // remove nodes from back of  $S$  to keep its
14:    size no larger than  $l$ 
15:  end if
16: end while
17: return the first  $k$  nodes in  $S$ 

```

NSG [Cong+, VLDB 19]

Algorithm 1: GreedySearch(s, x_q, k, L)

Data: Graph G with start node s , query x_q , result size k , search list size $L \geq k$

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```

begin
  initialize sets  $\mathcal{L} \leftarrow \{s\}$  and  $\mathcal{V} \leftarrow \emptyset$ 
  while  $\mathcal{L} \setminus \mathcal{V} \neq \emptyset$  do
    let  $p^* \leftarrow \arg \min_{p \in \mathcal{L} \setminus \mathcal{V}} \|x_p - x_q\|$ 
    update  $\mathcal{L} \leftarrow \mathcal{L} \cup N_{out}(p^*)$  and
     $\mathcal{V} \leftarrow \mathcal{V} \cup \{p^*\}$ 
    if  $|\mathcal{L}| > L$  then
      update  $\mathcal{L}$  to retain closest  $L$ 
      points to  $x_q$ 
    end if
  end while
  return [closest  $k$  points from  $\mathcal{L}; \mathcal{V}$ ]

```

DiskANN [Subramanya+, NeurIPS 19]

Algorithm 1 Beam search

Data: graph G , query q , initial vertex v_0 , output size k

Initialization:

$V = \{v_0\}$ // a set of visited vertices

$H = \{v_0 : d(v_0, q)\}$ // a heap of candidates

```

while has runtime budget do
   $v_i = \text{SelectNearest}(H, q)$ 
  for  $\hat{v} \in \text{Expand}(v_i, G)$  do
    if  $\hat{v} \notin V$  then
       $V := \text{Add}(V, \hat{v})$ 
       $H := \text{Insert}(H, \hat{v}, d(\hat{v}, q))$ 
    end if
  end for
end while
return TopK( $V, q, k$ )

```

Learning to route [Branchuk+, ICML 19]

Visited item are simply said to be "visited"; implying an additional hidden data structure (array)

Visited items are stored in a set

almost same algorithm

➤ Hint: Explicitly state the data structure or not

Case study: Beam search for neighbor search

Algorithm 1. Search-on-Graph(G, p, q, l)

Require: graph G , start node p , query point q , candidate pool size l

Ensure: k nearest neighbors of q

```
1:  $i = 0$ , candidate pool  $S = \emptyset$ 
2:  $S.add(p)$ 
3: while  $i < l$  do
4:    $i =$  the id of the first unchecked node  $p_i$  in  $S$ 
5:   mark  $p_i$  as checked
6:   for all neighbor  $n$  of  $p_i$  in  $G$  do
7:     if  $n$  has not been visited then
8:        $S.add(n)$ 
9:     end if
10:  end for
11:  sort  $S$  in ascending order of the distance to  $q$ 
12:  if  $S.size() > l$  then
13:     $S.resize(l)$  // remove nodes from back of  $S$ 
14:    size no larger than  $l$ 
15:  end if
16: end while
17: return the first  $k$  nodes in  $S$ 
```

Algorithm 1: GreedySearch(s, x_q, k, L)

Data: Graph G with start node s , query x_q , result size k , search list size $L \geq k$

Result: Result set \mathcal{L} containing k -approx NNs, and a set \mathcal{V} containing all the visited nodes

```
begin
  initialize sets  $\mathcal{L} \leftarrow \{s\}$  and  $\mathcal{V} \leftarrow \emptyset$ 
  while  $\mathcal{L} \setminus \mathcal{V} \neq \emptyset$  do
    let  $p^* \leftarrow \arg \min_{p \in \mathcal{L} \setminus \mathcal{V}} \|x_p - x_q\|$ 
    update  $\mathcal{L} \leftarrow \mathcal{L} \cup N_{out}(p^*)$  and
     $\mathcal{V} \leftarrow \mathcal{V} \cup \{p^*\}$ 
    if  $|\mathcal{L}| > L$  then
      update  $\mathcal{L}$  to retain closest  $L$ 
```

Algorithm 1 Beam search

Data: graph G , query q , initial vertex v_0 , output size k

Initialization:

$V = \{v_0\}$ // a set of visited vertices

$H = \{v_0 : d(v_0, q)\}$ // a heap of candidates

```
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   $v_i = \text{SelectNearest}(H, q)$ 
  for  $\hat{v} \in \text{Expand}(v_i, G)$  do
    if  $\hat{v} \notin V$  then
       $V := \text{Add}(V, \hat{v})$ 
       $H := \text{Insert}(H, \hat{v}, d(\hat{v}, q))$ 
    end
  end
end
return TopK( $V, q, k$ )
```

Termination condition??

Learning to route [Baranchuk+, ICML 19]

NSG [Cong+, VLDB 19]

- All papers have totally different pseudo code for the almost same algorithm 😞
- Hint: Explicitly state the data structure or not

Case study

function CSGVERTICES

Input: \mathcal{V} , \mathcal{F} : set of vertices and facets of input polyhedra

Output: \mathcal{V}_f : corresponding set of final output vertices

for F_1 in \mathcal{F} do

 for v in F_1 do

 if ISFINAL1(v) then $\mathcal{V}_f \leftarrow \mathcal{V}_f \cup \{v\}$

 end for

 for F_2 in \mathcal{F} do

$v_1, v_2 \leftarrow \text{INTERSECT2FACETS}(F_1, F_2)$

 if $\{v_1, v_2\} = \emptyset$ then continue F_2 loop

 if ISFINAL2(v_1) then $\mathcal{V}_f \leftarrow \mathcal{V}_f \cup \{v_1\}$

 if ISFINAL2(v_2) then $\mathcal{V}_f \leftarrow \mathcal{V}_f \cup \{v_2\}$

 for F_3 in \mathcal{F} do

$v \leftarrow \text{INTERSECTSEGMENTFACET}(v_1, v_2, F_3)$

 if $v \neq \emptyset$ and ISFINAL3(v) then $\mathcal{V}_f \leftarrow \mathcal{V}_f \cup \{v\}$

 end for

 end for

end for

end function

- ▷ Enumerate input faces
- ▷ Order-1 candidates

- ▷ Order-2 candidates
- ▷ No intersection

- ▷ Order-3 candidates

Case study

Enumeration for a set

```
function CSGVERTICES
  Input:  $\mathcal{V}, \mathcal{F}$ : set of vertices and facets of input polyhedra
  Output:  $\mathcal{V}_f$ : corresponding set of final output vertices
  for  $F_1$  in  $\mathcal{F}$  do
    for  $v$  in  $F_1$  do
      if ISFINAL1( $v$ ) then  $\mathcal{V}_f \leftarrow \mathcal{V}_f \cup \{v\}$ 
    end for
    for  $F_2$  in  $\mathcal{F}$  do
       $v_1, v_2 \leftarrow \text{INTERSECT2FACETS}(F_1, F_2)$ 
      if  $\{v_1, v_2\} = \emptyset$  then continue  $F_2$  loop
      if ISFINAL2( $v_1$ ) then  $\mathcal{V}_f \leftarrow \mathcal{V}_f \cup \{v_1\}$ 
      if ISFINAL2( $v_2$ ) then  $\mathcal{V}_f \leftarrow \mathcal{V}_f \cup \{v_2\}$ 
      for  $F_3$  in  $\mathcal{F}$  do
         $v \leftarrow \text{INTERSECTSEGMENTFACET}(v_1, v_2, F_3)$ 
        if  $v \neq \emptyset$  and ISFINAL3( $v$ ) then  $\mathcal{V}_f \leftarrow \mathcal{V}_f \cup \{v\}$ 
      end for
    end for
  end for
end function
```

Set update

⚠️textsc for a function name

Returning two variables
(This is often strange in a usual math,
but it's ok in pseudo-codes)

Comments

- ▷ Enumerate input faces
- ▷ Order-1 candidates

- ▷ Order-2 candidates
- ▷ No intersection

- ▷ Order-3 candidates

OOP style?

Algorithm 2: Lookup(\mathcal{T}, k)

Input: \mathcal{T} : the LIPP index, k : the lookup key

Output: *isFound*: indicates whether k is found,
 e : the entry containing k if found

```
1 begin
2    $n \leftarrow$  the root node of  $\mathcal{T}$ ;
3    $e \leftarrow n.\mathcal{E}[n.\mathcal{M}(k)]$ ;
4   while  $type(e) == NODE$  do
5      $n \leftarrow$  the node pointed to from entry  $e$ ;
6      $e \leftarrow n.\mathcal{E}[n.\mathcal{M}(k)]$ ;
7   if  $type(e) == DATA$  then
8      $k' \leftarrow$  the key in entry  $e$ ;
9     if  $k == k'$  then
10      return  $\langle True, e \rangle$ ;
11  return  $\langle False, e \rangle$ ;
12 end
```

- It's ok to define a class as well
- Member variables can be accessed via a usual "." notation.
- But don't make the thing complex.

Equations

- Basic notation for variables
- String
- Tips for sets
- Inputs/outputs of functions
- Subscript/superscript
- Don't write numpy
- Misc

Pseudo codes

- Basic
- What do you want to express?
- **Misc**

Misc

- Consider what are global variables!
- You can use a sentence to describe a difficult concept, e.g.,
 - $\mathcal{J} \leftarrow$ Identifiers of top K smallest values of \mathbf{x}
 - $\mathbf{T} \leftarrow (N_1 \times N_2 \times N_3)$ empty arrays of B-Trees.
- Intentionally, you can use **almost-code** style.

Algorithm 1 SimSiam Pseudocode, PyTorch-like

```
# f: backbone + projection mlp
# h: prediction mlp

for x in loader: # load a minibatch x with n samples
    x1, x2 = aug(x), aug(x) # random augmentation
    z1, z2 = f(x1), f(x2) # projections, n-by-d
    p1, p2 = h(z1), h(z2) # predictions, n-by-d

    L = D(p1, z2)/2 + D(p2, z1)/2 # loss

    L.backward() # back-propagate
    update(f, h) # SGD update

def D(p, z): # negative cosine similarity
    z = z.detach() # stop gradient

    p = normalize(p, dim=1) # l2-normalize
    z = normalize(z, dim=1) # l2-normalize
    return -(p*z).sum(dim=1).mean()
```

- Their contribution is it's easy to implement the algorithm in PyTorch
- Complicated idea, such as "detach", can be explained in one line.
- But be careful! Can future readers understand?

Misc

- If you use almost-code style, use the minted package.
- https://www.overleaf.com/learn/latex/Code_Highlighting_with_minted

```
\documentclass{article}
\usepackage{minted}
\begin{document}
\begin{minted}{python}
import numpy as np

def incmatrix(genl1,genl2):
    m = len(genl1)
    n = len(genl2)
    M = None #to become the incidence matrix
    VT = np.zeros((n*m,1), int) #dummy variable

    #compute the bitwise xor matrix
    M1 = bitxormatrix(genl1)
    M2 = np.triu(bitxormatrix(genl2),1)

    for i in range(m-1):
        for j in range(i+1, m):
            [r,c] = np.where(M2 == M1[i,j])
            for k in range(len(r)):
                VT[(i)*n + r[k]] = 1;
                VT[(i)*n + c[k]] = 1;
                VT[(j)*n + r[k]] = 1;
                VT[(j)*n + c[k]] = 1;

            if M is None:
                M = np.copy(VT)
            else:
                M = np.concatenate((M, VT), 1)

    VT = np.zeros((n*m,1), int)
```



```
import numpy as np

def incmatrix(genl1,genl2):
    m = len(genl1)
    n = len(genl2)
    M = None #to become the incidence matrix
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    for i in range(m-1):
        for j in range(i+1, m):
            [r,c] = np.where(M2 == M1[i,j])
            for k in range(len(r)):
                VT[(i)*n + r[k]] = 1;
                VT[(i)*n + c[k]] = 1;
                VT[(j)*n + r[k]] = 1;
                VT[(i)*n + c[k]] = 1;
```


Schedule

Date (2024)	Contents	Presented by
Week 1, Apr 10	Introduction. Review of fundamental concepts	Yusuke, Koya, Yuki, Jun
Week 2, Apr 17	Equations and pseudo-codes	Yusuke Matsui
Week 3, Apr 24	Presentation	Koya Narumi
Week 4, May 1	Tables and plots	Yusuke Matsui
Week 5, May 8	Figures	Koya Narumi
Week 6, May 22	Videos	Koya Narumi
Week 7, May 29	Invited Talk 1	Dr. Yoshiaki Bando (AIST)
Week 8, June 5	Invited Talk 2	Prof. Katie Seaborn (Tokyo Tech)
Week 9, June 12	GitHub in depth	Yusuke Matsui
Week 10, June 19	Automation of research and research dissemination (Web, Cloud, CI/CD)	Jun Kato
Week 11, June 26	Research community	Jun Kato
Week 12, July 3	3DCG illustrations	Yuki Koyama
Week 13, July 10	Final presentations	-

